# Effect of Thermal Annealing of Atomic-Layer-Deposited $AlO_x$ / Chemical Tunnel Oxide Stack Layer at the PEDOT:PSS/n-type Si Interface to Improve its Junction Quality

(導電性高分子 PEDOT:PSS/n-type Si 接合特性改善のための 原子層成長 AlO<sub>x</sub>/化学酸化層積層構造の熱処理効果に関する研究)



A Dissertation Submitted to the Graduate School of Science and

Engineering of Saitama University in Candidacy for the Degree of Doctor

of Philosophy

By

## **Md Enamul Karim**

Recommended for Acceptance by the Department of Functional Material
Science

Supervisor: Hajime Shirai

**Submission: September 2020** 

Dedicated to My Parents Md Shahid Ussah

&

Fatoma Juhura

## **Abstract**

High-efficiency c-Si solar cells are attracting interest in research, in which a metal oxide or organic polymer thin film having electron/hole transporting ability is bonded to crystalline Si (c-Si) as an anode and a cathode. In our previous study, a transparent and high hole-transporting conductive polymer poly(3,4-ethylenedioxy thiophene):poly(styrene sulfonate) (PEDOT:PSS) was used to plane n-type crystal Si (We have achieved an efficiency of 13.3% for pristine PEDOT:PSS device), and have achieved an efficiency of 15.5% by using an antireflection film together. Furthermore, we have prototyped 10 series modules for 2 cm2 and 4-inch size elements and have demonstrated the potential as an independent power supply for surveillance cameras. However, in order to further improve the device performance, it is necessary to promote the passivation of the c-Si/PEDOT:PSS anode-cathode interface and further enhance the internal electric field at the anode-cathode interface. In this doctoral dissertation, aluminum oxide ( $AlO_x$ ), which is expected to have high Si passivation ability and high fixed charge density, among high-k materials with high dielectric constant, and PEDOT:PSS/n-Si interface were selected. The effect of increasing the electric field strength at the interface was investigated by inserting the film into the PEDOT:PSS/n-Si interface. Firstly, by arranging the amorphous (a-)  $AlO_x$  films prepared by atomic layer deposition (ALD) in islands, the efficiency of hole collection at the anode, and the improvement of  $AlO_x$  to increase the electric field strength at the anode interface. Secondly, Si passivation by short-time heat treatment (RTA) at 425°C in a reduced pressure environment and an atmospheric pressure heat treatment (FGA) in an N<sub>2</sub>/H<sub>2</sub> environment of a coated structure of a 1-2 nm thick  $AlO_x$  layer and a chemically oxidized  $SiO_x$  layer. And the effects on

PEDOT:PSS/n-Si interface local chemical bond state, electronic structure, and device performance were investigated. This doctoral dissertation consists of 6 chapters. The outline of each chapter is described below:

Chapter 1, "Introduction", describes the background of research and development of crystalline Si solar cells and the purpose of this paper.

In Chapter 2, the effect of a TiO<sub>x</sub> layer as a hole blocking layer at the Si/Al interface on the back cathode of a c-Si solar cell is discussed from steady-state photocurrent and current transient response characteristics. Specifically, focusing on TiO<sub>2</sub>, which has a small work function, a titanium oxide (TiO<sub>2</sub>) synthesized by hydrolysis of TiCl<sub>2</sub> was used to insert a TiO<sub>x</sub> layer with a thickness of 1-2 nm at the n-Si/Al interface by spin coating. It has been demonstrated that the device structure is effective in improving the conversion efficiency and the efficiency of collecting photo-generated carriers in the 600-1200 nm region as compared with the device performance without insertion. Furthermore, in order to quantitatively evaluate the hole blocking ability, the hole current pushed back into the c-Si by the hole blocking layer by instantaneously applying the reverse bias from the steady current when the forward bias was applied in the dark. We established a Transient Reverse Recovery measurement to determine the recombination velocity S from the waveform. As a result of a comparative study of this technique with existing techniques for evaluating the recombination rate, such as the QSSPC and  $\mu$ -PCD methods, it has been clarified that it can be sufficiently used as a technique for evaluating the performance of the hole blocking layer.

Chapter 3, "Experimental procedure", describes the fabrication method and the evaluation method of the ultra-thin aluminum oxide  $(AlO_x)$  film, which is expected as a high dielectric constant material (high-k), by the ALD method for the purpose of

enhancing the electric field strength at the PEDOT:PSS/n-Si anode interface. It also describes the photolithography process for forming a  $15 \times 15 \,\mu\text{m}^2$  island array, chemical oxide layer formation, RTA, and FGA heat-treatment process. About the in-plane distribution of minority carrier lifetime ( $\tau_{\text{eff}}$ ) by  $\mu$ -photoconductive decay method ( $\mu$ -PCD method), X-ray photoelectron spectroscopy (XPS) method, and infrared absorption spectroscopy (FTIR) evaluation method for the above ultrathin films.

In Chapter 4, 4.1 describes the fundamental physical properties of amorphous (a-)AlO<sub>x</sub> insulator thin films produced by an alternate supply of TMA[Al(CH<sub>3</sub>)<sub>3</sub>] and water by the ALD method. Film formation was performed by using TMA, water supply time, time sequence, substrate temperature as variables, the film thickness, surface morphology, and local chemical bonding state were evaluated. In addition, the physical properties of n-Si interface bonding were evaluated by short-time heat treatment (RTA) at 425°C for 15 minutes in a reduced pressure environment after film formation. As a result, it was clarified that the surface roughness and the Al(OH) bond remaining in the film were reduced most at the substrate temperature of 200°C, and a dense amorphous structure was formed.

In 4.2, in order to achieve both passivation of the c-Si surface and hole trapping ability, a 15 × 15 µm2 size of 20 nm thick ALD a-AlO<sub>x</sub> with different lattice spacing was formed on the c-Si surface by photolithography. We investigated the PEDOT:PSS/a-AlO<sub>x</sub>/n-Si junction characteristics and device performance of spin-coated 80 nm thick PEDOT:PSS layer on the island array. The Si passivation ability was improved, and the diffusion potential was increased to 1.4V with the increase of the a-AlO<sub>x</sub>/PEDOT:PSS area ratio, but the fill factor in the solar cell element was significantly decreased by the increase of the parallel resistance, which deteriorated the conversion efficiency.

Therefore, in Section 4.3, we investigated the effect of inserting a 2-3 nm thick AlO<sub>x</sub> ultrathin layer formed by the ALD method as a tunnel layer and Si passivation. In the RTA of 20-nm-thick a-AlO<sub>x</sub>,  $\tau_{\rm eff}$  was reduced from 150 µs to 15-30 µs. On the other hand,  $\tau_{\rm eff}$  can be improved to 600-700 µs by FGA treatment of a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si coated structure with *ch*-SiO<sub>x</sub> of 1-2 nm thickness inserted at the a-AlO<sub>x</sub>/n-Si interface. It is revealed that, from the evaluation of the sheet resistance, as a result of FGA processing of the coated structure, it decreases from PEDOT:PSS/c-Si junction  $162\Omega$ / $\Box$  to PEDOT:PSS/a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si coated structure  $105\Omega$ / $\Box$ . In addition that, from the evaluation of the capacitance-voltage (C-V) characteristics, the fixed charge density was changed from  $3.2 \times 10^{12}$  cm<sup>-2</sup> to  $5.7 \times 10^{12}$  cm<sup>-2</sup> by applying FGA processing from a-AlO<sub>x</sub>/n-Si to a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si coated structure, and the interface state density decreased from  $4.5 \times 10^{11}$  cm<sup>-2</sup>eV<sup>-1</sup> to  $2 \times 10^{11}$  cm<sup>-2</sup>eV<sup>-1</sup>. Moreover, the conversion efficiency of the device on the planarized n-Si with PEDOT:PSS/a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si coated structure as anode is 13.08% without insertion and 14.91% (open voltage: 0.645V, Fill factor: 0.77, short-circuit current density increased to 30 mA/cm<sup>2</sup>).

In Chapter 5, the electronic structure of the interface in the a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si layered structure by ch-SiO<sub>x</sub> insertion and RTA and FGA treatment was evaluated by XPS, UV spectroscopy, and Kelvin probe method. FGA treatment revealed that ch-SiO<sub>x</sub> contained a large proportion of Si<sup>\*</sup> complexes near 103 eV, which did not belong to Si<sup>+</sup>, Si<sup>2+</sup>, Si<sup>3+</sup>, and Si<sup>4+</sup>, compared to RTA. In RTA, the oxidation of the a-AlO<sub>x</sub> layer on the surface became dominant, whereas in FGA, the oxidation of the ch-SiO<sub>x</sub> layer was promoted as the reduction of the AlO<sub>x</sub> layer progresses, and as a result, the passivation ability of the c-Si surface was improved. Besides, the band level diagram of the PEDOT:PSS/a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si interface was determined, and the a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si

coated structure was inserted, and the subsequent FGA treatment was performed to form the anode interface. It was clarified for the first time that the electric field strength was enhanced.

In Chapter 6, we summarized the doctoral dissertation, gave conclusions, and mentioned future prospects.

## **Acknowledgments**

First of all, I would like to thank my supervisor, Professor Hajime Shirai, for his scholastic guidance advice and countless support. I am especially thankful for his encouraging words and patience. Without his support, I could not have overcome the numerous paper revision, months of fruitless results, and moments of confusion. I also acknowledge his help to sort out my problems in personal matters. Besides of my supervisor, I am very much thankful to Professor Masamichi Sakai, Professor Kenji Kamishima, and Professor Keiji Ueno for their valuable comments and guidance in this journey.

Special thanks to all former and present members of Shirai group. First, I must thank senior members, Dr. Jaker Hossain, Dr. A.T.M. Saiful Islam, Daisuke Harada, Koji Kasahara, and Takanori Kuroki for their fruitful suggestions and support in my beginning days in Japan. I also very much thankful to Arifuzzaman Rajib, Abdul Kuddus, Yuki Nasuno, Moriya Yuma, Kawamura Koki, Imai Koki, Miyamoto Ryuta, Matsuzaki Ryuji, Funajima Yusuke, Takahashi Rayato for their support and excellent research environment.

I must acknowledge the generosity of all funding agencies. This work was partially supported by a Japan Science and Technology Agency (JST) grant, a Grant-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science, and Technology (MEXT) of Japan. And, my great thanks to MEXT for the scholarship support for my Ph.D. program at Saitama University.

My gratitude also goes to Assistant Professor, Dr. Ryo Ishikawa, for his fruitful comments and excellent advice during my research work. I am also thankful to all the staff of the Department of Functional Materials Science, Saitama University. Especially, to Mr. Yoshiaki Saito and Nobuhiko Gokan for their technical and maintenance support.

Also, I would like to thanks Professor Tatsuro Hanajiri, Associate Professor Tomofumi Ukai, Associate Professor Shunji Kurosu, Technical manager Masahide Tokuda, and Yasuhiko Fujii, Toyo University, Kawagoe campus, for their kind helping and fruitful discussion in UV photolithography facility, SEM and EDS characterization, and analysis. I also highly appreciate Mr. Yoshiharu Iwai of Riken Keiki Co. Ltd, Japan, for Kelvin Probe measurement.

In the end, I would like to acknowledge my family members from the bottom of my heart. First, to my parents, they had always been beside me by their kind support and excellent care, they had never shied away from sacrificing their happiness even for fulfilling my small wishes and for my tiny achievements. After that, I would like to thank my beloved wife for her endless support at every moment of my life.

Finally, I would like to thank all my teachers, friends, well-wisher for their endless support during my journey.

## **List of Publications and Presentations**

#### Journal Papers

- M. E. Karim, Y. Nasuno, A. Kuddus, T. Ukai, S. Kurosu, M. Tokuda, Y. Fujii, Y. Nakajima, T. Hanajiri, R. Ishikawa, K. Ueno, H. Shirai, "Effect of thermal annealing of ALD-AlOx/chemical tunnel oxide stack layer on the junction properties at the organic/n-type silicon interface", J. Appl. Phys. 128, 045305 (2020).
- 2. **M. E. Karim**, A.T.M. S. Islam, Y. Nasuno, A. Kuddus, R. Ishikawa, H. Shirai, "Solution-Processed TiO<sub>2</sub> as a hole blocking layer in PEDOT:PSS./n-Si Heterojunction Solar Cells", EPJ-Photovoltaics, **11**, 7 (2020).
- 3. A. Rajib, **M. E. Karim**, T. Ukai, S. Kurosu, M. Tokuda, Y. Fujii, Y. Nakajima, T. Hanajiri, R. Ishikawa, K. Ueno, and H. Shirai, "Synthesis of AlO<sub>x</sub> thin films by atmospheric-pressure mist chemical vapor deposition for effects on junction properties at the AlO<sub>x</sub>/Si interface" J. Vac. Sci. Technol. A **38**, 033413 (2020).
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#### **Conference Presentations**

- M. E. Karim, Arifuzzaman Rajib, Yuki Nasuno, Tomofumi Ukai, Shunji Kurosu, Masahide Tokuda, Yasuhiko Fujii, Tatsuro Hanajiri, R. Ishikawa, Keiji Ueno, H. Shirai, "Effect of the tunnel oxide/AlO<sub>x</sub> stacked hole-selective contacts on the junction properties at PEDOT:PSS/n-type Si interface", The 67th JSAP Spring Meeting, 2020, Tokyo, japan.
- 2. **M. E. Karim**, Tomofumi Ukai, A.T.M. S. Islam, Shunji Kurosu, Yasuhiko Fujii, Masahide Tokuda,, Tatsuro Hanajiri, R. Ishikawa, Keiji Ueno, H. Shirai, "PEDOT:PSS/n-Si heterojunction solar cells with ALD-Al<sub>2</sub>O<sub>3</sub>/n-Si field effect inversion layer", The 80th JSAP Autumn Meeting, 2019, Hokkaido, Japan.
- 3. **M. E. Karim**, Tomofumi Ukai, Daisuke Harada, A.T.M. S. Islam, Shunji Kurosu, Yoshikata Nakajima Yasuhiko Fujii, Masahide Tokuda, Tatsuro Hanajiri, R. Ishikawa, Keiji Ueno, H. Shirai, "PEDOT:PSS/n-Si heterojunction solar cells with ALD-Al<sub>2</sub>O<sub>3</sub>/n-Si field effect inversion layer", The 66th JSAP Spring Meeting, 2019, Tokyo, japan.
- 4. **M. E. Karim**, Tomofumi Ukai, Daisuke Harada, A.T.M. S. Islam, Shunji Kurosu, Yoshikata Nakajima Yasuhiko Fujii, Masahide Tokuda, Tatsuro Hanajiri, R. Ishikawa, Keiji Ueno, H. Shirai, "PEDOT:PSS/n-Si heterojunction solar cells with ALD-Al<sub>2</sub>O<sub>3</sub>/n-Si field effect inversion layer" 6th Korea-Japan Joint Symposium on Advanced Solar Cells, 2019, Nikko, Japan
- 5. **M. E. Karim**, S. Kurosu, Y. Nakajima, Y. Fujii, M. Tokuda, T. Hanajiri, R. Ishikawa, Keiji Ueno, H. Shirai "Passivation of nanopillar Si surface by ALD-AlO<sub>x</sub> and its effect on PV performance of PEDOT:PSS/n-Si solar cells" The 79th JSAP Autumn Meeting, 2018, Nagoya, Japan.

## **List of Tables**

- 2.1 Summary of PV performance for the PEDOT:PSS/n-Si solar cells with various thicknesses of TiO<sub>2</sub> HBL.
- 3.1 Area ratio of  $AlO_x$  island with a PEDOT:PSS overlayer
- **4.1** Local vibration modes of  $AlO_x$  related FTIR peaks
- **4.2** Photovoltaic parameters of the pristine PEDOT:PSS/n-Si device with a different area ratio of AlO<sub>x</sub> island & PEDOT:PSS
- **4.3** Chemical shifts of  $SiO_x$  suboxide components,  $Si^{2+}$ ,  $Si^{3+}$ ,  $Si^*$ , and  $Si^{4+}$ , from the  $Si(2p_{3/2})$  core energy level used as a reference value for ALD-AlO<sub>x</sub> (six cycles) with and without tunnel oxide ch-SiO<sub>x</sub> (1-3) layers before and after FGA and RTA.
- **4.4** Solar cell parameters for the pristine PEDOT:PSS/n-Si device and the devices with solely ALD-AlO<sub>x</sub> and the AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack ultrathin layers.
- **5.1** Determination of valance band offset for ALD-AlO<sub>x</sub>/n-Si interface with and without tunnel oxide ch-SiO<sub>x</sub> layers.
- 5.2 Sheet resistance for PEDOT:PSS/n-Si devices with and w/o AlO<sub>x</sub> and AlO<sub>x</sub>/ch-SiO<sub>x</sub>(1~3nm) interlayers

## **List of Figures**

- 1.1 Solar cell efficiency charts produced by NREL
- 1.2 Molecular structure of PEDOT and PSS
- **1.3** Efficiency progress of PEDOT:PSS/n-Si solar cells with time
- **1.4** Property of several high-k materials with their band offset. [G.D. Wilk et al., J. Appl. Phys.10, 15 (2001)]
- **1.5** (a) Schematic band diagram of AlO<sub>x</sub> /n-Si interface and (b) proposed device diagram of PEDOT:PSS/ AlO<sub>x</sub> island/ n-Si HT solar cells
- **1.6** Proposed device diagram and its corresponding band diagram of PEDOT:PSS/n-Si junction with and without stack layer of ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub>
- **2.1** (a) PEDOT:PSS chemical structure, (b) Schematic structure of the PEDOT:PSS/n-Si/TiO<sub>2</sub> double heterojunction solar cells with single- and double-TiO<sub>2</sub> layers as a HBL
- **2.2** XPS profile of the Ti(2p) core levels of, (a) TiO<sub>2</sub>/n-Si, and (b) Al/TiO<sub>2</sub>/n-Si, samples.
- 2.3 2D mapping of minority career lifetime for the TiO<sub>2</sub> coated n-Si substrate
- **2.4** Schematics of (a) the circuit diagram and (b) Trr current for the devices with and without HBLs
- (a) J-V curve of PEDOT:PSS/n-Si solar cells with different layer thicknesses of TiO<sub>2</sub> as HBL. (b) the EQE for the devices with and without a 2-nm-thick TiO<sub>2</sub>
   HBLs. The inset shows the EQE<sub>TiO2</sub>/EQE<sub>pristine</sub> ratio. (c) The 2D map of the EQE

- at 1050 nm for pristine device with and without a 2-nm-thick TiO<sub>2</sub> HBL
- 2.6 (a) Normalized EQE, EQE<sub>TiO2</sub>/EQE<sub>pristine</sub> and (b) Trr current profiles of the PEDOT:PSS/n-Si heterojunction solar cells with single- and double-layer of 2-nm-thick TiO<sub>2</sub> as HBL including the recovery time for each devices
- **3.1** Fabrication process of PEDOT:PSS/n-Si heterojunction solar cell
- 3.2 Basic principle of Al<sub>2</sub>O<sub>3</sub> deposition by Atomic Layer Deposition (ALD)
- **3.3** Schematic diagram of the ALD system
- **3.4** Gas supply of ALD system
- 3.5 Pattern mask for 15  $\mu$ m squatted AlO<sub>x</sub> islands with a different interval from 15-150  $\mu$ m distance
- **3.6** Fabrication process of  $AlO_x$  island on c-Si substrate using UV photolithography process
- **3.7** (a) Microscopic image of AlO<sub>x</sub> island on n-Si substrate and (b) thickness profile of AlO<sub>x</sub> island
- **3.8** a) Device fabrication of PEDOT:PSS/n-Si solar cell with AlO<sub>x</sub> island, (b) Cross-sectional image of AlO<sub>x</sub> island/PEDOT:PSS on n-Si substrate
- **3.9** Figure-3.9: Fabrication process of ch-SiO<sub>x</sub> at the AlO<sub>x</sub>/n-Si interface
- **3.10** Schematic device diagram of PEDOT:PSS/n-Si heterojunction with ultrathin AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer
- **3.11** Principle of micro-photoconductive decay (μ-PCD) method
- 3.12 Schematic diagram of Forming gas annealing (FGA) and Rapid thermal annealing (RTA)
- **3.13** Schematic diagram of an AFM system
- 3.14 (a) Schematic diagram of an FTIR set up, (b) Simple interferometer with a beam-

- splitter and compensator plate
- **3.15** FTIR spectroscopy (Shimadzu, ITTracer-100 system)
- 3.16 (a) Schematic of an MIS structure used in C-V measurement, (b) Modelled ideal C-V curve (no interface state, V<sub>fb</sub>=0V) of a silicon MIS capacitor at low and high frequencies. The voltage ranges corresponding to accumulation, depletion, weak inversion, and strong inversion are indicated
- (a) principle of XPS with scan spectra for the ultrathin thick SiO<sub>2</sub> on Si substrate,(b) deconvolution of Si 2P core level for ~5A° thick SiO<sub>2</sub> on Si substrate and the possible Si suboxide peaks
- **3.18** Schematic diagram of a PYSA system configuration with PC screen of a measurement sample of AC-2 by PYSA system
- **3.19** Energy band diagram for metals, semiconductors or organic materials measured by PYSA system configuration
- **3.20** (a) Schematic diagram of PEDOT:PSS/AlOx/ch-SiOx/n-Si device measuring sheet resistance by a four-probe circuit, and (b) its equivalent circuit diagram.
- **4.1** AlO<sub>x</sub> thickness plotted as a function of ALD number of cycles
- **4.2** The growth rate of  $AlO_x$  film vs. substrate temperature
- **4.3** AFM images of ALD-  $AlO_x$  films at a different substrate temperature
- **4.4** FTIR spectra of ALD- AlO<sub>x</sub> films at a different substrate temperature
- **4.5** Effective minority carrier lifetime of  $ALD-AlO_x$  on the n-Si substrate at different values of layer thickness
- **4.6**  $1/C^2$  vs. voltage (b) summarized built-in field of PEDOT:PSS/n-Si solar cell with AlO<sub>x</sub> island at different area ration of AlO<sub>x</sub> island & PEDOT:PSS
- **4.7** Photocurrent-Voltage (J-V) curve for the PEDOT:PSS/n-Si solar cell with  $AlO_x$

- island under (a) dark condition, (b) illumination at different area ration of  $AlO_x$  island & PEDOT:PSS
- **4.8** (a) External quantum efficiency (EQE) (b) Reflectance of PEDOT:PSS/n-Si solar cell with AlO<sub>x</sub> island at different area ration of AlO<sub>x</sub> island & PEDOT:PSS
- **4.9** Photocurrent-Voltage (J-V) curve for the PEDOT:PSS/n-Si solar cell with  $AlO_x$  island under (a) dark condition, (b) illumination (c) EQE at different donor density substrate
- **4.10** (a) Current density and open-circuit voltage curve, (b) fill-factor and power conversion efficiency for different donor density substrate compared with pristine PEDOT:PSS device
- **4.11** Carrier concentration and built-in potential as a function of different donor density substrate
- **4.12** (a) Correlation between  $\tau_{\rm eff}$  and ALD number of cycles for ALD-AlO<sub>x</sub> on n-Si before and after RTA for 5-15 cycles. (b)  $\tau_{\rm eff}$  of ALD-AlO<sub>x</sub> (six cycles) and ALD AlO<sub>x</sub>/ch SiO<sub>x</sub> stack layers on n-Si (1–5  $\Omega$ ·cm) substrate before and after FGA at 560 °C for 30 min.
- **4.13** A typical C-V curve for the MIS device
- **4.14** (a) negative fixed charge density  $(Q_f)$  as a function with  $AlO_x/n$ -Si with and without ch-SiO<sub>x</sub> (1~3nm) for as-deposited, FGA and RTA condition, (b)  $Q_f$  and  $D_{it}$  as a function with different annealing condition
- **4.15** XPS Si(2p) core energy region spectra of ALD-AlO<sub>x</sub> (six cycles) with and without tunnel oxide ch-SiO<sub>x</sub> (1~3nm) layers (a) as-deposited, (b) after FGA at 560 °C for 30 min, and (c) RTA at 425 °C for 15 min. The subfigures on the left show the spectra between 100–105 eV. The subfigures on the right show the enlarged view

- of the same energy region
- **4.16** Aluminum octahedra (Al-O<sub>6</sub>) and aluminum tetrahedra (Al-O<sub>4</sub>) complex
- **4.17** FTIR spectra for the AlO<sub>x</sub>/ch-SiO<sub>x</sub> for as-deposited, FGA and RTA from (a) 500-400 and (b) 500-1200 cm<sup>-1</sup> wavenumber
- **4.18** (a) Dark and (b) photocurrent density-voltage curves of pristine PEDOT:PSS/n-Si heterojunction solar cell and PEDOT:PSS/n-Si devices together with six cycles ALD-AlO<sub>x</sub> solely and ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack interlayers. (c) V<sub>oc</sub> and V<sub>bi</sub> for the corresponding PEDOT:PSS/n-Si devices
- **4.19** (a) EQE, (b) EQE<sub>AlOx(AlOx/ch-SiOx)</sub>/EQE<sub>pristine</sub>, and (c) 2D mapping of EQE at 400-and 1000-nm wavelengths for pristine PEDOT:PSS/n-Si heterojunction solar cells together with six-cycles ALD-AlO<sub>x</sub> solely and ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack interlayers
- **5.1** Schematic band diagram of an MS junction
- 5.2 XPS spectra of Al(2p) and Si(2p) core energy level regions of (a) ALD-AlO<sub>x</sub>/n-Si, (b) AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~1.5nm)/n-Si, and (c) AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~3nm)/n-Si samples, respectively. The inset shows the compositional ratio for corresponding samples
- **5.3** Derived band alignment and band offset of the ALD-AlO<sub>x</sub>/n-Si interface with and without tunnel oxide ch-SiO<sub>x</sub> (1~3nm) layers
- 5.4 Figure-5.4: (top) Schematic energy band diagram of PEDOT:PSS/n-Si junction, including definitions of the energy differences. (bottom) Derived energy band diagrams of (a) PEDOT:PSS/n-Si, (b) PEDOT:PSS/AlO<sub>x</sub>/n-Si, and (c)PEDOT:PSS/AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm)/n-Si

## **List of Abbreviations**

Al<sub>2</sub>O<sub>3</sub> Aluminum oxide

ALD Atomic Layer Deposition

AR Antireflection layer

a-Si Amorphous-Si

c-Si Crystalline Silicon

C-V Capacitance-Voltage

CZ Czochralski

DI Deionized

Eg Energy Gap

EQE External Quantum Efficiency

FF Fill Factor

HBL Hole Blocking Layer

J<sub>sc</sub> Short-Circuit Current Density

J-V Current Density-Voltage

n-Si n-type Silicon

PCE Power Conversion Efficiency

PEDOT Poly (3,4-Ethylenedioxy-Thiophene)

PSS Poly (Styrenesulfonate)

PV Photovoltaic

S Surface Recombination Velocity

SE Spectroscopic Ellipsometry

SiO<sub>x</sub> Silicon Sub-Oxide

TiO<sub>2</sub> Titanium Dioxide

Trr Transient Reverse Recovery

V Voltage

Vbi Built-In Potential

Voc Open-Circuit Voltage

Jo Dark Saturation Current Density

 $au_{ ext{\tiny eff}}$  Effective Minority Carrier Lifetime

μ-PCD Microwave Photoconductance Decay

# **Table of Contents**

bstract	III
.cknowledgments	VIII
ist of Publications and Presentations	X
ist of Tables	XII
ist of Figures	XIII
ist of Abbreviations	XVIII
able of Contents	XX
Chapter 1	1
ntroduction	1
1.1 Research background	1
1.2 Crystalline-Si/Organic Heterojunction Solar Cells	3
1.3. Background of the Solution-Processed Hybrid PVs	3
1.4 Motivation of this study	5
1.5 Outline of This Dissertation	10
ibliography	13
Chapter 2	23
ffect of TiO2 as a Hole Blocking Layer in the PEDOT:PSS/n-Si He	eterojunction Solar
ells	23
2.1. Introduction	23

2.2	Expe	rimental Det	ails	24
	2.2.1	Solution-pr	cocessed TiO <sub>2</sub> and the device fabrication	24
2.3	Cha	racterization	S	26
	2.3.1	XPS study		27
	2.3.2	Minority C	arrier Lifetime	28
	2.3.3	Transient re	everse recovery (Trr) measurement	28
2.4	Resu	lts and discu	ssion	30
	2.4.1	Photovoltai	c performance of solar cells	30
	2.4.2	Junction pr	operty at the Si/TiO <sub>2</sub> cathode interface monitored by	the T <sub>rr</sub>
		characteriz	ation	33
2.3	Sum	mary and cor	clusions	34
Biblio	graphy			35
Chapt	er 3	•••••		39
Experi	mental	Procedure as	nd Characterization Method	39
3.1	Expe	rimental Pro	cedure	39
	3.1.1	Fabrication	process of PEDOT:PSS/n-Si heterojunction solar cell	39
	3.1.2	Deposition	of AlO <sub>x</sub> on c-Si by Atomic Layer Deposition (ALD)	40
		3.1.2.1 Pr	rinciple of AlO <sub>x</sub> deposition by ALD	40
		3.1.2.2. Sa	ample preparation	41
		3.1.2.3 A	lO <sub>x</sub> film deposition by ALD	42
	3.1.3	Preparation	of AlO <sub><math>x</math></sub> island by UV photolithography process	43

	3.1.3.1	Sample preparation	43
	3.1.3.2	Preparation of AlOx island by UV photolithography proces	s 44
	3.1.3.3	Device fabrication PEDOT:PSS/n-Si heterojunction solar c	ells
		with AlO <sub>x</sub> island	47
3.1.4	Fabrica	tion process PEDOT:PSS/n-Si heterojunction solar cell with	h
	ultrathin	$n AlO_x/ch-SiO_x (1\sim3 nm)$	48
	3.1.4.1	Fabrication process of $ch$ -SiO <sub><math>x</math></sub> at the AlO <sub><math>x</math></sub> /n-Si interface	48
	3.1.4.2	Fabrication process PEDOT:PSS/n-Si heterojunction solar	cells
		with AlO <sub>x</sub> /ch-SiO <sub>x</sub> stack layer	48
3.2 Ch	aracterizat	tion method	50
3.2.1	Micro-p	photoconductive decay (μ-PCD)	50
3.2.2	Forming	g gas annealing (FGA) and Rapid thermal annealing (RTA)	
	method		51
3.2.3	Atomic	Force Microscopy (AFM)	51
3.2.4	Fourier-	-transform infrared spectroscopy (FTIR)	52
3.2.5	Capacit	ance-Voltage (C-V) Profiling	54
3.2.6	X-ray e	lectron spectroscopy (XPS) method	55
3.2.7	Photoer	nission Yield Spectroscopy in Air (PYSA)	56
3.2.8	Four-pr	obe method for sheet resistance measurement	58
Bibliography	<i>7</i>		60
Chantan 1			62

ALD-	$AlO_x$ re	elated resu	ılt and discussion	. 62
4.1	Fund	damental p	properties of AlO <sub>x</sub> film deposited by ALD	62
	4.1.1	ALD- A	lO <sub>x</sub> film characterization	. 62
		4.1.1.1	ALD- AlO <sub>x</sub> film thickness	62
		4.1.1.2	AFM study	65
4.2	Effe	ct of ALD	-AlO <sub>x</sub> island at the PEDOT:PSS/n-Si interface property by the	UV
	phot	olithograp	hy process	69
	4.2.1	Effect o	f AlO <sub>x</sub> island at the PEDOT:PSS/n-Si interface for different ar	ea
		ratio of	AlO <sub>x</sub> and PEDOT:PSS	. 69
	4.2.2	Effect o	f AlOx island at the PEDOT:PSS/n-Si interface for different	
		donor d	ensity substrate	. 73
4.3	Effe	ct of thern	nally annealed atomic-layer-deposited AlO <sub>x</sub> /chemical tunnel ox	xide
	stacl	k layer at t	he PEDOT:PSS/n-type Si interface to improve its junction qua	lity
		••••••		77
	4.3.1	Effect o	f FGA and RTA at the stack layer of ALD-AlO $_x$ /ch-SiO $_x$ /c-Si	i 77
		4.3.1.1	Effective lifetime of ALD-AlO <sub>x</sub> /ch-SiO <sub>x</sub> stack layer on c-Si	77
		4.3.1.3	Study of XPS for the ALD-AlO <sub>x</sub> /SiO <sub>x</sub> stack layer on c-Si wit	h
			and without FGA.	81
		4.3.1.3	Effect of RTA and FGA on the ALD-AlO <sub>x</sub> with and without	
			ch-SiO <sub>x</sub> (1~3nm) by FTIR spectra	85
	4.3.1	PV perf	formance of FGA treated ALD-AlO <sub>x</sub> /ch-SiO <sub>x</sub> stack layer with the	he
		PEDOT	:PSS/n-Si heterojunction solar cell	. 86

Bibliography91
Chapter 5
Band alignment at the PEDOT:PSS/a-AlO <sub>x</sub> /ch-SiO <sub>x</sub> /c-Si interface
5.1 Determination of band offset and band alignment of ALD- $AlO_x/SiO_x$ stack layer
on n-Si substrate
5.3.4 Effect of FGA treated ALD-AlO $_x$ /ch-SiO $_x$ stack layer at the
PEDOT:PSS/n-Si interface
Bibliography
Chapter 6 104
Summary and future work
5.1 Summary and conclusion
5.2 Future Work

# **Chapter 1**

## Introduction

#### 1.1 Research background

There are several types of renewable energy sources that have already been established from the last decades, including wind energy, hydropower, solar energy, geothermal energy, bioenergy. Among them, solar photovoltaic (PV) devices become a very prominent way to directly convert solar energy into electricity, most commonly known as a solar cell. Early silicon solar photovoltaic cells did not have good efficiency. However, the efficiency increased day by day, while, the cost decreased. The first Si p-n junction solar cell was developed by Daryl Chapin et al. in 1954 [1], having power conversion efficiency (PCE) of 6%. In 1955, Hoffman Electronics-Semiconductor Division introduced a new photovoltaic product having only a 2% efficiency, which energy cost was \$1,785/Watt (USD). Within a few years, Hoffman Electronics was able to increase cell efficiency by up to 10%. The efficiency increased rapidly to 14% in 1960. In 1985, researchers at the University of New South Wales, Australia, were able to construct a solar cell that has over 20% efficiency. A 20% efficiency solar cell was patented in 1992. In the 21st century, efficiency continues to rise, and the future forecast shows that efficiency would be increasing more. Figure-1.1 shows the different types of solar cell efficiency charts produced by National Renewable Energy Laboratory (NREL) [2].

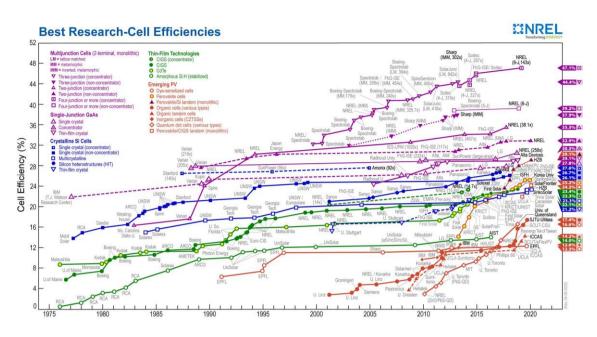


Figure-1.1: solar cell efficiency charts produced by NREL

However, the production cost of the higher solar photovoltaic cell is increasing day by day with the increasing cell efficiency. That's why it is a critical issue to reduce the production cost by compromising with efficiency and using cheaper materials: electrically inferior thin-film semiconductors instead of comparatively more expensive c-Si. To achieve a substantial reduction in cost, low materials cost, low temperature, low vacuum processing, easy and cheap equipment installation, and higher throughput makes "thin-film" technologies are needed. The composite semiconductors, like Copper Indium Gallium Selenide (CIGS), Cadmium Telluride (CdTe), and amorphous-Si (a-Si) technologies are prominent examples of this approach.

Another approach is to prepare thin-film solar cell devices from organic semiconducting polymers. In place of typical conduction and valance band, which are observed in inorganic materials, they have inter-molecular filled, and empty energy states referred to as the highest-occupied-molecular-orbital (HOMO) and the lowest-unoccupied-molecular-orbital (LUMO) respectively. The typical energy gap (Eg) of

organic materials ~ 2-3 eV, which is much higher than the c-Si (1.1 eV). This type of PV cell has several advantages, including low production cost, high throughput, flexible thin-film, and can be prepared by roll-to-roll processes, such as lamination, spray-coating, or transfer printing [3]. Due to the low cost, several types of organic-based solar cells have been proposed [4]. However, since semiconducting polymers absorb only in a small spectral range of the solar spectrum [5-6], the PCE of these types of solar cells is too low, and their reliability is still poor [7-10].

#### 1.2 Crystalline-Si/Organic Heterojunction Solar Cells

In recent years, the combination of organic and inorganic materials has an attractive significant research interest in c-Si hybrid solar cells due to their obvious advantages of a low-temperature process, remarkably low fabrication cost, and potential high efficiency [11-14]. Hybrid photovoltaic (PV) cells are low temperature processable (<140°C) like most-organic solar cells and have simple fabrication such as spin coating (SC) or mist coating of organic polymer on c-Si, followed by screen printing or evaporated electrodes with high processing speed. Like traditional Si-based solar cells, light absorption and separation of photogenerated carriers happen predominantly in silicon; as a result, the recombination losses are low, and the light absorption is high in these hybrid solar cells compared to the organic PVs.

## 1.3. Background of the Solution-Processed Hybrid PVs

Recently c-Si/Organic hybrid solar cells with a conductive polymer, such as poly (3-hexylthiophene) (P3HT)/Si, Spiro-OMeTAD/Si and poly(3,4-ethylenedioxy-thiophene):poly(styrenesulfonate) (PEDOT:PSS)/Si, have been lead to the emerging research field of the hybrid optoelectronic device [15-17]. In this type of hybrid solar cells,

the PEDOT:PSS layer acts as a hole-transporting path [18] when forming a heterojunction with the n-type Silicon (n-Si) substrate. Si has a strong absorption capability in a very wide spectral range and excellent carrier transportability. PEDOT:PSS is a water-soluble polymer that has high conductivity, a transmission window in the visible spectral range, and excellent chemical and thermal stability. This type of n-Si/PEDOT:PSS heterojunction hybrid solar cell combines the excellent absorption property of Si in a wide spectrum range and the benefit of aqueous solution-based processes of PEDOT:PSS. This type of heterojunction can be formed by solution-based fabrication techniques such as Spin-coating (SC), chemical mist deposition (CMD), electrospray deposition, and inkjet of polymer on c-Si [19-24].

The molecular structure of the PEDOT:PSS is shown in figure 1.2. The polythiophene based conjugated polymer PEDOT carries a positive charge and shows hydrophobic behavior. On the other hand, sulfonated polystyrene PSS shows negative charge behavior because of having a deprotonated sulfonate group and has hydrophilic characteristics.

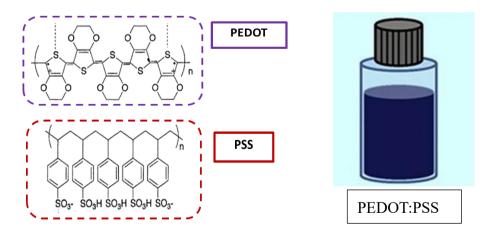


Figure-1.2: Molecular structure of PEDOT and PSS

Figure-1.3 shows the PV progress of PEDOT:PSS/n-Si solar cells with time. The PCE of 10.8% ~ 13.3% has been explored in plain Si substrate by adjusting the type of solvents (e.g., methanol (MeOH), ethylene glycol (EG), mixed of MeOH/EG, dimethyl sulfoxide (DMSO)), solution concentration, the thickness of the PEDOT:PSS, and resistivity of the substrate [25-28]. The efficiency was farther improved to 15.4% by introducing the antireflection (AR) overcoating without additional light management (texturing, pillar) system [29]. Most recently, the PCE of PEDOT:PSS/c-Si solar cells increased to over 20% with a back-PEDOT structure in textured Si substrate, but it required conventional high-temperature processing steps [32].

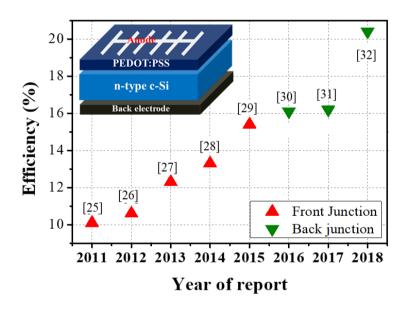


Figure-1.3: Efficiency progress of PEDOT:PSS/n-Si solar cells with time

## 1.4 Motivation of this study

In recent times, highly efficient organic-inorganic hybrid solar cells utilizing organic (PEDOT:PSS) combined with n-Si have attracted much attention for their reasonable cell conversion efficiency and cost-effective fabrication [33–39]. The PEDOT:PSS layer acts as a hole transporting path when forming a heterojunction with n-

type Si. Over the past few years, considerable progress towards high-efficiency n-Si/PEDOT:PSS hybrid solar cells has been achieved by adjusting significant factors such as electrical conductivity, chemical affinity, interfacial layers, and the thickness of the that materials [40-49]. Despite the tremendous efforts to improve solar cell performance, the highest power conversion efficiency reported to date is low when compared to the value (33%) theoretically estimated by Shockley–Queisser for a single-junction device [50]. To further increase the PCEs in heterojunction hybrid solar cells, the interfacial engineering between the n-Si and PEDOT:PSS is of significant importance. This is because photogenerated charge carriers must effectively pass through the heterojunction interface. Therefore, it is crucial to form a high-quality oxide interlayer at that interface. With the objective of this, an additional passivation layer may be applied to improve the understanding of the passivation and junction properties at anode or cathode interface. In the case of the cathode interface, many researchers, including our research group, have used several carrier selective contacts like SiO<sub>x</sub>, SiN<sub>x</sub> or TiO<sub>x</sub> to improve the junction quality. In our study, we also used the  $TiO_x$  as a hole blocking layer at the cathode interface to improve the passivation quality as well as the junction quality.

However, the passivation ability of PEDOT:PSS on the n-Si substrate still remains insufficient compared with those of the SiO<sub>2</sub>, SiN<sub>x</sub>, or TiO<sub>x</sub> dielectrics.[51-53] Although high PCE over 20.6 % has been established for PEDOT:PSS/n-Si (native oxide) heterojunction solar cells by Zielke et al. succeeded in increasing the PCE of PEDOT:PSS/n-Si(native oxide) heterojunction solar cells to over 20.6% without the use of an additional tunnel oxide layer [54], but the role of native oxide is still not clear. Additionally, the PCE of a device on thermally grown SiO<sub>2</sub>/n-Si rather than the native oxide was reportedly 10–13% lower.[51,52] Thus, the interface chemistry of SiO<sub>x</sub> local chemical bond configurations at the PEDOT:PSS/n-Si junction remains controversial in

terms of improving the passivation ability and enhancing the field– inversion. Various studies have extensively employed ultrathin metal oxide layers as carrier selective contact layer. These layers were obtained using various fabrication methods, such as thermal evaporation [55-57], plasma-enhanced chemical vapor deposition [58-64], atomic layer deposition (ALD) [65-67], and sputtering [68-71]. Among the metal oxides, ALD-AlO<sub>x</sub> is well-known as a good material for the passivation layer at the anode contact for c-Si. Figure-1.4 shows the property of several high-k materials with their corresponding band offset corresponds to the c-Si from which we choose AlO $_x$  due to high dielectric constant.

Material	Dielectric constant (K)	Band gap E <sub>G</sub> (eV)	$\Delta E_{C}$ (eV) to Si	Crystal structure(s)
$SiO_2$	3.9	8.9	3.5	Amorphous
$Si_3N_4$	7	5.1	2.4	Amorphous
$Al_2O_3$	9	8.7	2.8 <sup>n</sup>	Amorphous
$Y_2O_3$	15	5.6	$2.3^{\rm n}$	Cubic
$La_2O_3$	30	4.2	$2.3^{\rm n}$	Hexagonal, cubic
$Ta_2O_3$	26	4.5	1-1.5	Orthorhombic
$TiO_2$	80	3.5	1.2	Tetrag. <sup>c</sup> (rutile, anatase)
$HfO_2$	25	5.7	1.5 <sup>n</sup>	Mono.b , Tetrag.c, , cubic
$ZrO_2$	25	7.8	$1.4^{\rm n}$	Mono.b , Tetrag.c , cubic

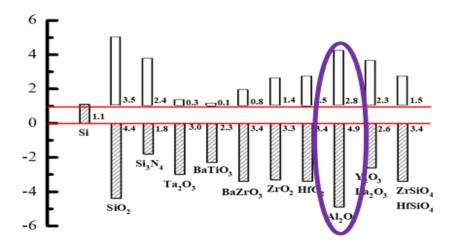


Figure-1.4: Property of several high-*k* materials with their band offset. [G.D. Wilk et al., J. Appl. Phys.10, 15 (2001)]

To achieve this aim, we have investigated the PEDOT:PSS/n-Si junction by inserting a higher passivation layer of  $AlO_x$  to improve its junction quality by suppressing the fermi level pinning as well as the reducing of the sheet resistance produced at PEDOT:PSS/n-Si junction. Although a higher passivation layer can be achieved due to the higher thickness of  $AlO_x$ , at the same time, due to the thicker  $AlO_x$ , the photogenerated carriers have difficulty to be tunneled through the thick inversion layer. Several attempts using an ALD-AlO<sub>x</sub>/PEDOT:PSS combined stack hole-selective layer have been made to increase the passivation ability as well as the built-in-field V<sub>bi</sub> at the PEDOT:PSS/n-Si anode interface. These attempts include photolithography-processed ALD-AlO $_x$  islands with different gap distances on highly resistive c-Si and an ultrathin ALD-AlO<sub>x</sub>/chemical tunnel oxide (ch-SiO<sub>x</sub>) stack layer at the PEDOT:PSS/n-Si interface. In case of a higher thickness of ALD-AlO $_x$  film, a UV photolithography process has been introduced to apply an AlO<sub>x</sub> island to make a tunnel layer through a thick AlO<sub>x</sub> layer where the photogenerated carriers easily pass through the tunneling. Figure-1.5(a) shows a proposed band diagram of AlO<sub>x</sub>/n-Si interface, where the negative fixed charge density is stored underneath the inversion layer of AlO<sub>x</sub>. Figure-1.5(b) shows the proposed device structure where the photogenerated holes are transported through the  $AlO_x$  island layer to the passivated PEDOT:PSS contact, while the electron reaches the back contact via the Si substrate. In this study, the different area ratio of ALD-AlO<sub>x</sub> island has been introduced with the overlayer of PEDOT:PSS to improve the junction quality.

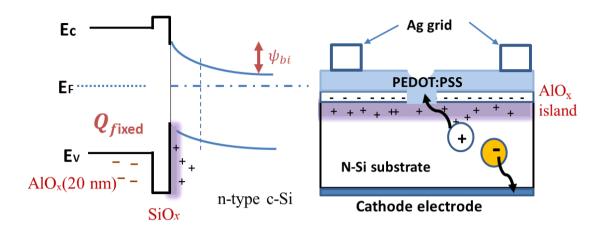


Figure-1.5: (a) Schematic band diagram of  $AlO_x$  /n-Si interface and (b) proposed device diagram of PEDOT:PSS/  $AlO_x$  island/ n-Si HT solar cells.

However, another researcher has investigated that the photovoltaic performance is sensitive to the ratio of the area of PEDOT:PSS to that of ALD-AlO<sub>x</sub>, and the layer thicknesses, and the obtained PCE remains low at 4–5 %.[57] When the former approach is used, the photovoltaic performance is sensitive to the ratio of the area of PEDOT:PSS to that of ALD-AlO<sub>x</sub>, and the layer thicknesses, and the obtained PCE remains low at 4–5 % [57]. Therefore, another approach is the use of ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer at the PEODT:PSS/n-Si interface to improve its junction quality. For furthermore improvement of the performance, an additional annealing effect has been introduced at the junction to improve its passivation quality using the forming gas annealing (FGA) in N<sub>2</sub>/H<sub>2</sub> gas mixture. [57] Figure-1.6 shows the proposed schematic device and band diagram of the PEDOT:PSS/n-Si device with and without FGA treated ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer.

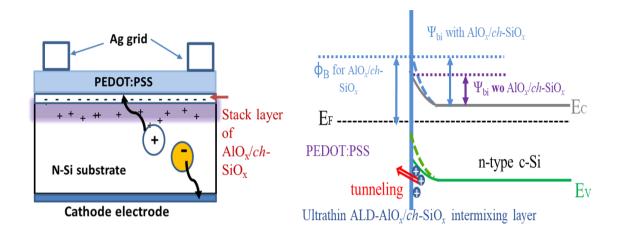


Figure-1.6: Proposed device diagram and its corresponding band diagram of PEDOT:PSS/n-Si junction with and without stack layer of ALD-AlO<sub>x</sub>/*ch*-SiO<sub>x</sub>.

However, the impact of FGA on the local Si-O<sub>x</sub> chemical bonding configurations and the junction properties at the ALD-AlO<sub>x</sub>/n-Si interface has not yet been systematically evaluated. To this aim, we investigated the effects of rapid thermal annealing (RTA) and FGA of ALD-AlO<sub>x</sub> on n-Si with and without tunnel oxide ch-SiO<sub>x</sub> layers on the local SiO<sub>x</sub> bonding configurations as well as the junction properties at a PEDOT:PSS/n-Si interface.

#### 1.5 Outline of This Dissertation

In this work, the junction property of the organic/c-Si heterojunction solar cell has been investigated with the selective carrier contacts like  $TiO_x$  and  $AlO_x$ . The main contribution in this work is the improvement of passivation ability at the PEDOT:PSS/n-Si junction by the insertion of FGA treated ultrathin stacked ALD-AlO<sub>x</sub>/SiO<sub>x</sub> layer.

In chapter 2, the junction property at the n-type cathode interface has been investigated using a hole blocking layer (HBL) instead of direct metal contact to reduce the recombination at the cathode interface. In this study, the solution-processed TiO<sub>x</sub> has been used as a hole blocking layer at the cathode interface, which increased the PCE of the PEDOT:PSS/n-Si solar cell from 11.2 to 13.1% with the increased J<sub>sc</sub> and V<sub>oc</sub>. Also, a transient reverse recovery study revealed that the surface recombination velocity could be determined for the PEDOT:PSS/n-Si/TiO<sub>x</sub> double heterojunction solar cell with no use of both side TiO<sub>x</sub> coated c-Si samples.

In chapter 3, the detailed experimental procedure characterization method has been explained for the PEDOT:PSS/n-Si heterojunction solar cell with and without ALD-AlO<sub>x</sub> layer. In the experimental procedure, firstly, we have explained the detailed fabrication process of PEDOT:PSS/n-Si heterojunction solar cell. After then, the details deposition process of AlO<sub>x</sub> by the atomic layer deposition has been discussed. After that, the details fabrication process of AlO<sub>x</sub> island at the PEDOT:PSS/n-Si interface has been explained using the UV photolithography process. Following that, the stack layer of ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> related device fabrication process has been discussed in detail. In the next part, the characterization methods have been discussed, including the  $\mu$ -PCD, Annealing process, AFM, FTIR, C-V profiling, XPS, PYSA, four-probe method, etc.

In chapter-4, first, the fundamental property of ALD-AlO<sub>x</sub> on the n-Si substrate are characterized. Also, we have investigated the minority carrier lifetime of ALD-AlO<sub>x</sub>/n-Si interface, which depicted the better passivation quality of the c-Si surface. It has been achieved using a higher thickness of ALD-AlO<sub>x</sub> layer after rapid thermal annealing (RTA). Thus, we classified two different thickness regimes of ALD-AlO<sub>x</sub>. In the case of  $\sim$ 20nm thickness regimes, the AlO<sub>x</sub> island has been introduced at

PEDOT:PSS/n-Si heterojunction using the UV photolithography process to pass the photogenerated carriers through the  $AlO_x$  island layer. The photocurrent-voltage curve revealed that the current density had been increased for the  $AlO_x$  island inserted PEDOT:PSS/n-Si device due to the photocurrent enhancement and suppression of reflectance within the visible spectrum region. On the other hand, using the ultrathin  $AlO_x/SiO_x$  stack layer on the n-Si substrate, the built-in potential and the open-circuit voltage in the PEDOT:PSS/n-Si heterojunction solar cell has been improved, which is confirmed from the external quantum efficiency, XPS and FTIR study.

In chapter 5, the ultrathin  $AlO_x/SiO_x$  stack layer on the n-Si substrate has been investigated on the local chemical bonding configuration and the junction properties at the PEDOT:PSS/n-Si interface. Forming gas annealing (FGA) promotes the reduction of  $AlO_x$  layer as well as the formation of  $SiO_x$  layer, which improves the passivation ability at the PEDOT:PSS/n-Si interface. These results suggest that the negative charge stored in the ALD-AlO<sub>x</sub> layer increased because of the removal of oxygen after FGA by reduction. This indicates that both the increased passivation ability and increased negative charge storage in the ALD-AlO<sub>x</sub> layer contribute to the increase in  $V_{bi}$  at the PEDOT:PSS/n-Si anode interface and increase in  $V_{oc}$  in PEDOT:PSS/n-Si heterojunction solar cells.

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## **Chapter 2**

# Effect of TiO<sub>2</sub> as a Hole Blocking Layer in the PEDOT:PSS/n-Si Heterojunction Solar Cells

#### 2.1. Introduction

The selective carrier layer using metal oxide and organic for crystalline (c-) Si photovoltaics have extensively studied to replace the traditional high-temperature p-n junction and low-pressure processing. They include aluminum oxide (Al<sub>2</sub>O<sub>3</sub>), NiO, graphene oxide, and transparent conductive polymer poly(3,4-ethylenedioxythiophene): poly (styrene sulfonate) (PEDOT:PSS) as an electron blocking layer (EBL). Among them, solution-processed PEDOT:PSS acts as good passivation of c-Si and as a transparent hole transporting layer, which induces a strong inversion at the PEDOT:PSS/n-Si interface without any additional impurity doping. Thus, the junction property at the PEDOT:PSS/n-Si interface is explained in terms of p<sup>+</sup>-n junction model [1-3]. On the other hand, the band bending at the rear cathode interface is still less than the anode interface despite the use of low work function metal [4-6]. To this aim, several interfacial materials which act as a carrier selective layer (ESL) also have been extensively studied using transition metal oxide and fluorinated alkali metal such as magnesium oxide (MgO) [7], titanium oxide (TiO<sub>2</sub>) [8-13], barium hydroxide (Ba(OH)<sub>2</sub>) [14,15], cesium carbonate (Cs<sub>2</sub>CO<sub>3</sub>) [16,17], lithium fluoride (LiF) [18,19], magnesium fluoride (MgF<sub>2</sub>) [20] and so on. Among them, TiO<sub>2</sub> on Si (100) has been shown to blocks holes ( $\Delta E_{\rm V} \ge 2.3$  eV) while being transparent to electrons ( $\Delta E_{\rm C}$  < 0.3 eV), which acts as a hole blocking layer (HBLs). Several deposition methods have been applied for the fabrication of TiO<sub>2</sub> thin films such as PE-CVD [21-24], metal-organic chemical vapor deposition (MO-CVD) [21], pulsed laser deposition (PLD) [22], atomic layer deposition (ALD) [23-25], sputtering [26], and solgel [27], etc. Among them, ALD of TiO<sub>2</sub> has been extensively studied, and effective minority carrier recombination velocities below 10 cm/s have been achieved [23-25]. However, the potential of solution-processed TiO<sub>2</sub> as an HBL for the n-Si heterojunction solar cells is still not clear.

In the chapter, the potential of solution-processed TiO<sub>2</sub> as an HBL on the photovoltaic performance of PEDOT:PSS/n-Si/TiO<sub>2</sub> double heterojunction solar cells has been revealed. In this work, two investigations have been studied. One is the understanding of the junction property of the n-Si/TiO<sub>2</sub> cathode interface, and another is the transient reverse recovery Trr measurement to determine the effective surface recombination velocity S at the n-Si/TiO<sub>2</sub> interface.

## 2.2 Experimental Details

## 2.2.1 Solution-processed TiO<sub>2</sub> and the device fabrication

Figure 1 shows the chemical structure of PEDOT:PSS and device structure of PEDOT:PSS/n-Si/TiO<sub>2</sub> double heterojunction solar cells with TiO<sub>2</sub> as a hole blocking layer. As a base substrate, a both-side-polished  $2\times2$  cm<sup>2</sup> size n-type (100) CZ c-Si wafers (1–5  $\Omega$ ·cm) with a thickness of 250  $\mu$ m was used. Prior to the film deposition, the n-Si substrates were ultrasonically cleaned with acetone, isopropanol, and DI-water for 10 min each, followed by 5 wt.% HF<sub>aq</sub> treatment for 3 min to remove the native oxide. At first step, a solution of PEDOT:PSS (prepared from Clevios<sup>R</sup> PH1000 by adding ethylene-glycol and capstone fluorosurfactant in the ratio of 93:7:0.16 wt.% respectively) was spin-coated (SC) on top of the cleaned n-Si substrate. After then, the solution coated sample is followed by thermal annealing at 140 °C for 30 min to remove the residual solvent. Then Ag grid electrodes were screen printed at the top of PEDOT:PSS and on the rear side of

the n-Si. In the next step, the precursor solution of titanium tetraisopropoxide [Ti(OCH(CH<sub>3</sub>)<sub>2</sub>]<sub>4</sub>: TiP) diluted in isopropyl alcohol at three different concentrations of 0.5, 1, and 2 mg/ml was spin-coated at 3000 rpm for 40 sec on the rear side of n-Si. After then, the samples are followed by thermal annealing at 140°C for 10 min to remove the residual solvent. A hydrolysis reaction described below was applied to synthesize titanium dioxide on n-Si substrate as a HBL [28]

$$[Ti{OCH(CH_3)_2}_4 + 2H_2O \rightarrow TiO_2 + 4(CH_3)_2CHOH].$$
 (2.1)

The two types of device structures were fabricated as shown in Fig 1(b). One is the single layer of PEDOT:PSS/n-Si/TiO<sub>2</sub> double heterojunction solar cells with a 2-nm-thickness by adjusting the solution concentration on the top of Ag grid electrode. The other is the alternate coating of 1-nm-thick TiO<sub>2</sub> layer to suppress the junction area at the Ag/n-Si contact. Thus, a 1-nm-thick TiO<sub>2</sub> was formed first on the n-Si substrate, followed by the screen print of Ag grid electrode. After that, another 1-nm-thick TiO<sub>2</sub> was spin-coated on the top of Ag grid/TiO<sub>2</sub>/n-Si structure. The total thickness of TiO<sub>2</sub> was set to be ~2 nm. Finally, the Al was evaporated in the entire area of the rear side as the cathode electrode.

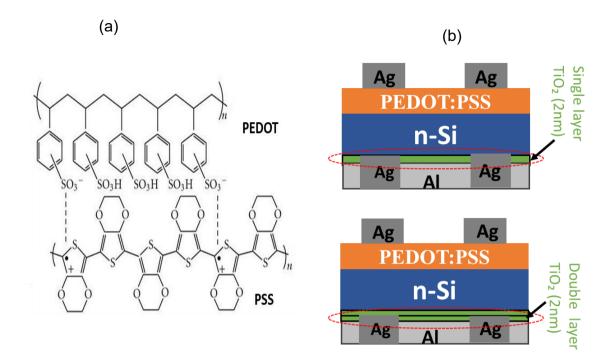


Figure 2.1: (a) PEDOT:PSS chemical structure, (b) Schematic structure of the PEDOT:PSS/n-Si/TiO<sub>2</sub> double heterojunction solar cells with single- and double-TiO<sub>2</sub> layers as a HBL.

The photovoltaic performance was characterized in the dark and under light exposure with simulated solar light of AM1.5G, 100 mW/cm<sup>2</sup> [Bunkoukeiki (CEP-25BX)]. The two-dimensional (2D) map of EQE at 1000 nm was also characterized for devices with a 2×2 cm<sup>2</sup> area using a Lasertec: MP Series.

### 2.3 Characterizations

The junction property at the  $TiO_2/n$ -Si cathode interface was characterized using atomic force microscopy (AFM), X-ray photoemission spectroscopy (XPS), and effective minority carrier lifetime  $\tau_{eff}$ . Also, electroluminescence characterization was used to

determine the transverse recovery time using the solar cell structure under the dark current injection at the forward bias condition.

## 2.3.1 XPS study

For the XPS measurement, a monochromatized Al  $K_{\alpha}$  radiation of hv = 1486.6 eV [AXIS-Nova (Kratos Analytical)] was used for analyzing the Ti(2p) and Si(2p) related components like Ti<sup>4+</sup>. In figure-2.2(a), the XPS Ti(2p) scan spectra for TiO<sub>2</sub>/n-Si interface provides the Ti<sup>4+</sup> having a binding energy of 458.6 eV for  $2P_{3/2}$  and 464.7 eV for  $2P_{1/2}$ . These results confirm the formation of the TiO<sub>2</sub> layer at the top of the n-Si substrate. Figure-2.2(b) shows the XPS Ti(2p) scan spectra for the Al/TiO<sub>2</sub>/n-Si interface, where some additional Ti<sup>3+</sup> peaks appeared together with Ti<sup>4+</sup> peak. This analysis provides evidence that the stoichiometric TiO<sub>2</sub> film changes chemically into a complex oxide, which may lead to decorate the hole-blocking effect of the TiO<sub>2</sub> layer and may reduce the passivation quality.

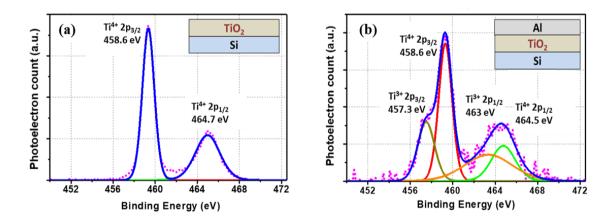


Figure 2.2: XPS profile of the Ti(2p) core levels of, (a) TiO<sub>2</sub>/n-Si, and (b) Al/TiO<sub>2</sub>/n-Si, samples.

#### 2.3.2 Minority Carrier Lifetime

The effective minority career lifetime was determined for the n-Si/TiO<sub>2</sub> junction using a micro-photoconductive decay ( $\mu$ -PCD) method (SLT-1410A, KOBELCO). Here 10 nm thick TiO<sub>2</sub> layer is symmetrically spin-coated on both sided plane n-Si (1-5  $\Omega$ ·cm) substrates, followed by thermally annealed at 140 °C for 10 min. Figure- 2.3 shows the minority career lifetime mapping of n-Si substrate with and without uniformly spin-coated TiO<sub>2</sub>.

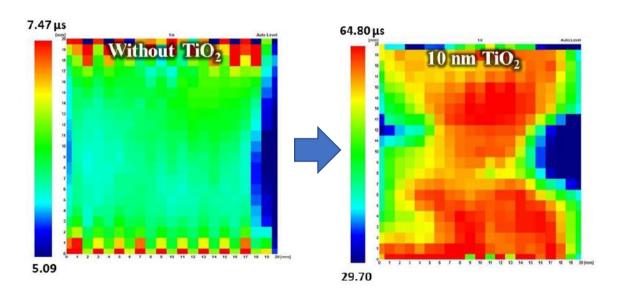


Figure-2.3: 2D mapping of minority career lifetime for the TiO<sub>2</sub> coated n-Si substrate

#### 2.3.3 Transient reverse recovery (Trr) measurement

For determining the surface recombination velocity (S),  $\mu$ -PCD method is used on both sides symmetrically TiO<sub>2</sub> coated samples. But for the completed solar device, S can be determined by Trr measurement. Figure-2.4 shows the (a) circuit diagram used for the Trr study, and (b) expected output current. Here,  $V_{ts}$  is the transient bias source,  $R_L$  (100 $\Omega$ ) is the external load resistance, the blue dash line area represents the simple equivalent circuit of the solar cell device,  $R_s$  and  $R_{sh}$  are equivalent series and shunt

resistance respectively. The detail of the Trr measurement is described in refs. 29 and 30.

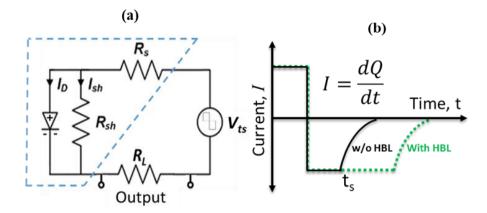


Figure 2.4: Schematics of (a) the circuit diagram and (b) Trr current for the devices with and without HBLs.

First, a positive V<sub>ts</sub> higher than the built-in potential is applied to the circuit to achieve a steady forward current level I<sub>D</sub> and I<sub>sh</sub>. After that, the reverse bias is applied to a device under test, and the time of recovery to the steady-state was monitored by combining the programmable rectangle wave (WW2074 model of Tabor Electronics) of 1 KHz and the digital storage oscilloscope (DSO7054A model of Agilent Technologies). The amount of stored charge inside the bulk can be calculated by:

$$Q = It_{s} (2.2)$$

where I is the maximum current and  $t_s$  is the storage time. Here,  $I_{si/HBL}$  ( $t_{si/HBL}$ ) and  $I_{si}$ (t<sub>si</sub>) are the transient currents (storage times) for the devices with and without HBLs. The storage charge ratio can be determined by:

$$Q_{ratio} = \frac{Q_{Si/HBL}}{Q_{Si}} = \frac{I_{Si/HBL}t_{Si/HBL}}{I_{Si}t_{Si}}$$
(2.3)

$$Q_{ratio} = \frac{Q_{Si/HBL}}{Q_{Si}} = \frac{I_{Si/HBL}t_{Si/HBL}}{I_{Si}t_{Si}}$$

$$Q_{ratio} = \frac{t_{Si}}{\frac{HBL}{HBL}}}{t_{Si}}$$

$$(2.3)$$

$$Q_{ratio} = \frac{t_{Si}}{\frac{HBL}{HBL}}}{t_{Si}}$$

The  $Q_{ratio}$  can be obtained through the diffusion coefficient  $D_p$  and recombination

velocity S as follows:

$$Q_{ratio} = 2\frac{D_p}{WS} + 1 \tag{2.5}$$

$$S = \frac{2D_p}{W(Q_{ratio} - 1)} \tag{2.6}$$

Thus, the S value can be calculated by determining the  $Q_{ratio}$  without calculating the exact amount of excess hole density of each, although the effect of the bulk recombination is neglected. The  $\tau_{\rm eff}$  value was also calculated by the  $\mu$ -PCD using the following well-known equation to confirm the reliability of S value [31]:

$$S = \frac{WD\pi^2}{2(D\pi^2\tau_s - W^2)},$$
 (2.7)

Where W is the thickness of the Si substrate, D is the minority carrier diffusion constant of n-Si.

#### 2.4 Results and discussion

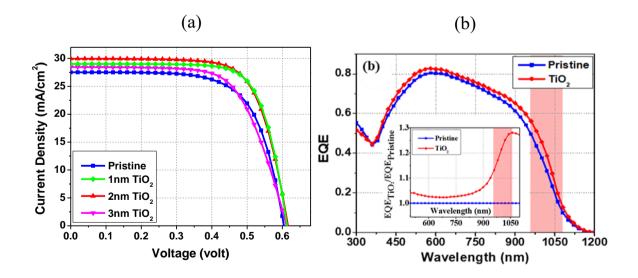
### 2.4.1 Photovoltaic performance of solar cells

Figures-2.5(a) shows the photocurrent-voltage (J-V) characteristics of the pristine PEDOT:PSS/n-Si heterojunction solar cells without and with different thicknesses of 1-,2-, and 3-nm TiO<sub>2</sub> under AM1.5G simulated solar light exposure. The photovoltaic parameters for the corresponding devices are summarized in Table 2.1. For 1-2 nm thick TiO<sub>2</sub> layer inserted devices, the current density (J<sub>sc</sub>) increased from 27.53 to 30 mA/cm<sup>2</sup> with increasing FF and V<sub>oc</sub>. These findings originate from the enhancement of hole blocking capability at the cathode interface by inserting a TiO<sub>2</sub> layer. As a result, the PCE increased from 11.23 for the pristine to 13.08% for TiO<sub>2</sub> HBL inserted device by

adjusting a ~2 nm thick TiO<sub>2</sub> layer.

Figure 2.5(b) presents the EQE for the PEDOT:PSS/n-Si devices with and without a 2-nm-thick TiO<sub>2</sub> HBL layer. The inset figure shows the normalized EQE of the corresponding device. The EQE at the n-Si/cathode interface region corresponding to the wavelength ~1000 nm increased for the TiO<sub>2</sub> layer inserted device compared to the pristine device. These findings originate from the reduction of the carrier recombination at the Si/cathode interface.

In addition, the electroluminescence image at the far-infrared region due to the dark current injection from the cathode interface for the corresponding device is compared shown in figure-2.5(c). The emission image is intense for the device with a TiO<sub>2</sub> HBL rather than that without inserting HBLs. These findings suggest that the band bending



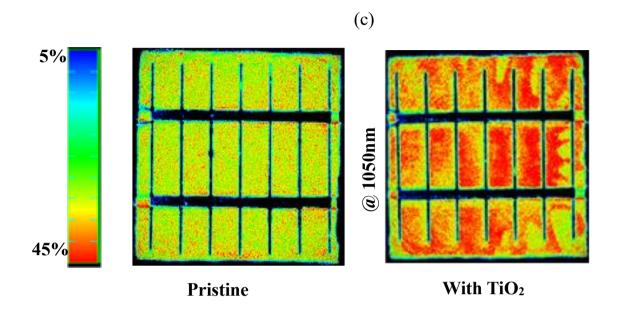


Figure-2.5: (a) J-V curve of PEDOT:PSS/n-Si solar cells with different layer thicknesses of  $TiO_2$  as HBL. (b) the EQE for the devices with and without a 2-nm-thick  $TiO_2$  HBLs. The inset shows the  $EQE_{TiO2}/EQE_{pristine}$  ratio. (c) The 2D map of the EQE at 1050 nm for pristine device with and without a 2-nm-thick  $TiO_2$  HBL.

Table 2.1: Summary of PV performance for the PEDOT:PSS/n-Si solar cells with various thicknesses of TiO<sub>2</sub> HBL.

Device type		$J_{sc}$ (mA/cm <sup>2</sup> )	V <sub>oc</sub> (mV)	FF (%)	PCE (%)
Pristine		27.5	605	68.0	11.23
TiO <sub>2</sub>	1 nm	29.0	613	73.1	13.01
	2 nm	30.0	616	70.9	13.08
	3 nm	28.5	612	65.8	11.46

## 2.4.2 Junction property at the Si/TiO<sub>2</sub> cathode interface monitored by the $T_{\rm rr}$ characterization

Figure- 2.6(a) shows the normalized EQE for both single and double layers of TiO<sub>2</sub> layer inserted device compared with the pristine device. Here, layer thickness for both layers is ~2nm. Corresponding device structures are inserted inside the figure. From the figure, it is shown that in case of a double-layer implanted device, photocurrent is more enhanced compared with a single-layer coated device. This improvement originates from the improved surface passivation provided by complete separation of the metal form Si surface. Additionally, more high carrier collection efficiency at the Si/cathode interface is also confirmed from this figure.

Figure 2.6 (b) shows the Trr study of PEDOT:PSS/n-Si heterojunction solar cells with the 2-nm-thick single- and double-layer TiO<sub>2</sub>. The hole storage time is ~2 and 2.8 times longer for single- and double-layer devices, respectively, compared to the pristine device without a TiO<sub>2</sub> layer. The amount of stored charge calculated by multiplying the corresponding recovery time ( $t_s$ ) with maximum transient reverse current. Using equation 2.5, the surface recombination velocity (S) of ~750 cm/s is determined for the single-layer TiO<sub>2</sub> inserted device, in which 15.5% back area of the Si surface has direct contact with metal (Ag). This value has a good agreement with the S value measured by conventional  $\mu$ -PCD. On the other hand, S value of ~375 cm/s was obtained for the device with alternate coating of TiO<sub>2</sub> layer. To understand the reliability of this value obtained by the Trr, the  $\mu$ -PCD measurement was performed using PEDOT:PSS or TiO<sub>2</sub> coated n-Si samples at both front and rear sides of c-Si substrate. The S of ~700 cm/s and ~60 cm/s are obtained for both sides of TiO<sub>2</sub> (2 nm) and PEDOT:PSS (80 nm) coated n-Si (1~5  $\Omega$ -cm) substrate respectively, which suggest that the cathode interface almost determines the photovoltaic performance.

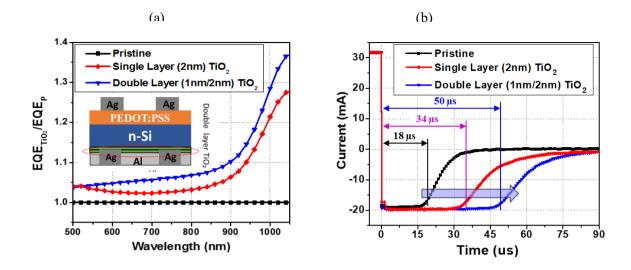


Figure 2.6: (a) Normalized EQE, EQE<sub>TiO2</sub>/EQE<sub>pristine</sub> and (b) Trr current profiles of the PEDOT:PSS/n-Si heterojunction solar cells with single- and double-layer of 2-nm-thick TiO<sub>2</sub> as HBL including the recovery time for each devices.

## 2.3 Summary and conclusions

The junction property at the solution-processed  $TiO_2/n$ -Si interface was studied using the PEDOT:PSS/n-Si heterojunction solar cells. A PCE of 13.08% was obtained for the PEDOT:PSS/n-Si/TiO<sub>2</sub> double heterojunction solar cells by adjusting the  $TiO_2$  layer thickness at the n-Si/Ag interface with increased  $J_{sc}$  and  $V_{oc}$ . These findings originate from the efficient carrier collection at the n-Si/cathode interface, although the surface recombination at the cathode interface dominate the photovoltaic performance. The Trr provides the S value using the solar cell device structures with no needs of both sides  $TiO_2$  coated c-Si.

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## **Chapter 3**

## **Experimental Procedure and Characterization Method**

## 3.1 Experimental Procedure

## 3.1.1 Fabrication process of PEDOT:PSS/n-Si heterojunction solar cell

As a base substrate, a both-side-polished  $2\times2$  cm² size n-type (100) CZ c-Si wafers (1–5  $\Omega$ ·cm) with a thickness of 250  $\mu$ m was used. Before the film deposition, the n-Si substrates were ultrasonically cleaned with acetone, isopropanol, and DI-water for 10 min each, followed by 5 wt% HF<sub>aq</sub> treatment for 3 min to remove the native oxide. At first step, a solution of PEDOT:PSS (prepared from Clevios<sup>R</sup> PH1000 by adding ethyleneglycol and capstone fluorosurfactant in the ratio of 93:7:0.16 wt.% respectively) was spin-coated (SC) on top of the cleaned n-Si substrate. After then, the solution coated sample is followed by thermal annealing at 140 °C for 30 min to remove the residual solvent. Ag grid electrodes were formed on the front and rear sides using a screen printer (Newlong Seimitsu Co., Ltd. DP-320) followed by thermal annealing at 170 °C for 30 min. Finally, the Al was evaporated in the entire area of the rear side as the cathode electrode. Figure-3.1 shows the details device fabrication process of PEDOT:PSS/n-Si heterojunction solar cell.

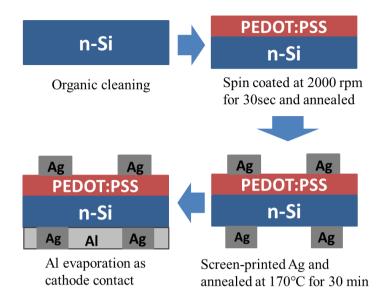


Figure-3.1: Fabrication process of PEDOT:PSS/n-Si heterojunction solar cell

## 3.1.2 Deposition of $AlO_x$ on c-Si by Atomic Layer Deposition (ALD)

### 3.1.2.1 Principle of $AlO_x$ deposition by ALD

Many promising applications result from combining the advantages of the ALD growth process with the excellent material properties of  $Al_2O_3$ . Consequently, ALD-Al<sub>2</sub>O<sub>3</sub> growth has been studied extensively over the past few years.  $Al_2O_3$  growth by ALD has been based on the CVD reaction:  $2Al (CH_3)_3+3H_2O \rightarrow Al_2O_3+6CH_4$ . To implement ALD-Al<sub>2</sub>O<sub>3</sub>, this CVD reaction is split into two half-reactions [1,2-5]:

$$Al-OH^* + Al(CH_3)_3 \rightarrow Al-O-Al(CH_3)_2^* + CH_4(A)$$
 (3.1)

$$Al-CH_3* + H_2O \rightarrow Al-OH* + CH_4 (B)$$
 (3.2)

Where the asterisks denote the surface species, alternate precursors such as Al(CH<sub>3</sub>)<sub>2</sub>Cl, AlCl<sub>3</sub>, and H<sub>2</sub>O<sub>2</sub> have also been employed for ALD-Al<sub>2</sub>O<sub>3</sub> [6-9].

During ALD-Al<sub>2</sub>O<sub>3</sub> growth, trimethylaluminum (TMA) is introduced and

allowed to react with hydroxyl groups on the surface. This reaction proceeds until the surface reaction reaches completion [3]. Subsequently, TMA is carried or pumped away. The same process is then performed with H<sub>2</sub>O. The H<sub>2</sub>O reacts with methyl groups on the surface until this surface reaction reaches completion. The sequential exposure to TMA and H<sub>2</sub>O constitutes one A.B. cycle [3]. These AB cycles are repeated to achieve the desired film thickness. Figure-3.2 shows the basic principle of the ALD-Al<sub>2</sub>O<sub>3</sub> procedure.

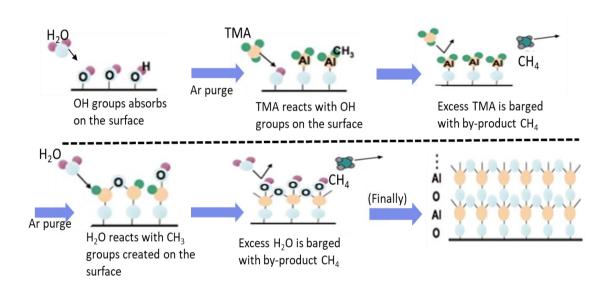


Figure-3.2: Basic principle of Al<sub>2</sub>O<sub>3</sub> deposition by Atomic Layer Deposition (ALD)

#### 3.1.2.2. Sample preparation

Here, both sides polished  $2\times2$  cm<sup>2</sup> size n-type (100) C.Z. c-Si wafers (1-5 $\Omega$ -cm) with a thickness of 250  $\mu$ m was used as a substrate. Before the ALD-AlO<sub>x</sub> film deposition, the n-Si substrate was cleaned by the standard Radio Corporation of America (RCA) cleaning to remove the ionic and organic impurities. The RCA cleaning was done in two steps. First, the samples were immersed in RCA1 solution of DI-water, 37% ammonium hydroxide (NH<sub>4</sub>OH), and 30% hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) in the weight ratio of 5:1:1 for 15 min, followed by rinsing in DI-water. Secondly, the cleaned samples were immersed

in RCA2 solution of DI-water, 37% hydrochloric acid (HCl), and 30% H<sub>2</sub>O<sub>2</sub> in the weight ratio of 6:1:1 for 10 min, followed by rinsing in DI-water. Then, the native oxide was removed by hydrofluoric acid treatment and blow-dried with N<sub>2</sub>. In the next stage, the amorphous aluminum oxide (AlO<sub>x</sub>) was deposited by Atomic Layer Deposition.

### 3.1.2.3 AlO<sub>x</sub> film deposition by ALD

Figure-3.3 shows the schematic diagram of an ALD system. The ALD-  $AlO_x$  films were grown using the  $H_2O$  and  $Al(CH_3)_3$  (TMA) precursors. The carrier gas was Ar gas, which was controlled at a rate of 5 sccm by an Ar gas mass flow controller (MFC).

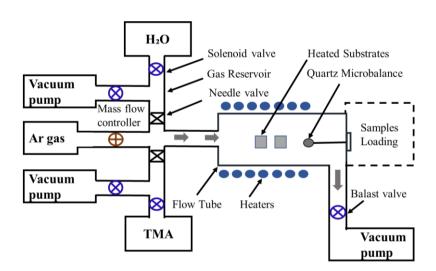


Figure-3.3: Schematic diagram of the ALD system

Alternative supply of TMA and H<sub>2</sub>O to the cleaned n-Si substrate by adjusting the supply period of TMA and water. The residual gas and excess carriers of TMA and H<sub>2</sub>O was removed by purging the Ar gas flow. The gas switching valves allow for a rapid turn on and shut off of the reactant gases for short ALD cycle times. This short time is facilitated by pumping the gas reservoirs with separate mechanical pumps after the

reactant exposures. Also, variable conductance needle valves are employed that control the reactant flux into the N<sub>2</sub> carrier gas and allow a 'gas window' to shut off the reactant quickly after the reactant exposure. The total time for one complete ALD- AlO<sub>x</sub> cycle was typically 75 s where the supply time is 100ms for TMA, 40ms for H<sub>2</sub>O, 40 ms for Ar gas. And 20s and 15s are for ballast time for TMA and H<sub>2</sub>O, respectively. Figure-3.4 shows the gas supply period of the ALD system.

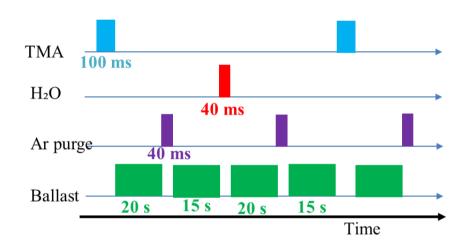


Figure-3.4: Gas supply of ALD system

Approximately 6 to 170 ALD-  $AlO_x$  cycles were grown and tested through this course of this study whose corresponding film thicknesses were about 1-30nm and the substrate temperature varying from 160 to 220°C.

## 3.1.3 Preparation of $AlO_x$ island by UV photolithography process

## 3.1.3.1 Sample preparation

In this study, n-type (100) C.Z. c-Si wafers (1x1 cm<sup>2</sup>, 1-5  $\Omega$ -cm) having both sides polished with a thickness of 250  $\mu$ m was used as a substrate. First, the n-Si substrate was cleaned by RCA1 and RCA2 cleaning to remove the ionic and organic impurities. In

RCA1 cleaning, the samples were immersed into the solution of DI-water, 37% ammonium hydroxide (NH<sub>4</sub>OH), and 30% hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) in the weight ratio of 5:1:1 for 15 min, followed by rinsing in DI-water. After then, the substrate was immersed in RCA2 solution of DI-water, 37% hydrochloric acid (HCl), and 30% H<sub>2</sub>O<sub>2</sub> in the weight ratio of 6:1:1 for 10 min, followed by rinsing in DI-water. Then, the native oxide was removed by hydrofluoric acid treatment and blow-dried with N<sub>2</sub>. In the next stage, the amorphous aluminum oxide (AlO<sub>x</sub>) was deposited by Atomic Layer Deposition 425°C for 15 min under vacuum condition.

### 3.1.3.2 Preparation of AlOx island by UV photolithography process

In this study, we used the UV photolithography facility in Bio-Nano Electronics Research Centre (BNERC) at Toyo University. Before the photolithography process, a glass mask was used where different types of patterning can proceed. Figure-3.5 shows a pattern mask where 15  $\mu$ m squatted AlO<sub>x</sub> islands were made with a different interval from 15-150  $\mu$ m distance.

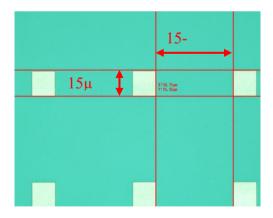


Figure-3.5: Pattern mask for 15  $\mu$ m squatted AlO<sub>x</sub> islands with a different interval from 15-150  $\mu$ m distance

Figure-3.6 shows the fabrication process of  $AlO_x$  island on the n-type c-Si

substrate by the UV photolithography method. After  $AlO_x$  deposition on the n-type c-Si substrate with annealing, first, the photoresist material was spin-coated on the sample at a speed of 500rpm for 5 min and then 3000rpm for 30 sec. Here, we used the OFPR-10CP solution as a photoresist material. After spin coating, the sample was pre-baked at a  $110^{\circ}$ C for 90 sec. Then the sample was exposed by UV light exposer for a short time (6 sec). After that, the sample was immediately developed through the NMD-3 solution. After development, the sample was rinsed by the DI-water and then post baked at  $110^{\circ}$ C for 2 min. This way, the patterning was created on the  $AlO_x$ .

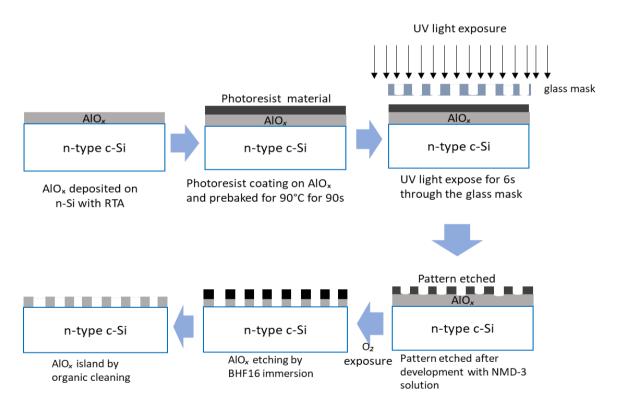


Figure-3.6: Fabrication process of  $AlO_x$  island on c-Si substrate using UV photolithography process.

After patterning, the sample was exposed through the O<sub>2</sub> plasma at 100W for 10 sec and then immersed the sample into the buffered hydrofluoric acid (BHF16) and then

rinsed by DI-water to make the AlO<sub>x</sub> island. Finally, organic cleaning was used for removing the photoresist coating. In this way, we prepared the AlO<sub>x</sub> island for different area ratios. Figure-3.7(a-b) shows the microscopic image and thickness profile of AlO<sub>x</sub> island on n-Si substrate where 15  $\mu$ m squatted AlO<sub>x</sub> islands were designed with different space distance of 50-150  $\mu$ m. Also, the thickness profile confirms the AlO<sub>x</sub> island on n-Si substrate. Table-3.1 shows the area ratio of AlO<sub>x</sub> island to PEDOT:PSS.

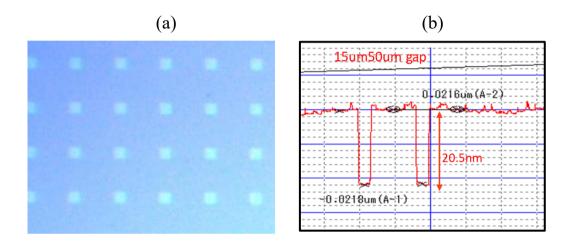


Figure-3.7: (a) Microscopic image of  $AlO_x$  island on n-Si substrate and (b) thickness profile of  $AlO_x$  island

Table-3.1: Area ratio of AlO<sub>x</sub> island with a PEDOT:PSS overlayer

Area (μm)	Area distance (μm)	Area ratio of AlO <sub>x</sub> island /PEDOT:PSS
	15	1/1
15	50	3.3/1
15	100	6.7/1
	150	10/1

## 3.1.3.3 Device fabrication PEDOT:PSS/n-Si heterojunction solar cells with $AlO_x$ island

Figure-3.8(a) shows the device fabrication of PEDOT:PSS/n-Si solar cell with AlO<sub>x</sub> island. Here, the PEDOT:PSS was spin-coated on the AlO<sub>x</sub> island/n-Si substrate at a 3000rpm speed for 30 sec and then annealed for  $140^{\circ}$ C for 30 min. Later, Ag was coated as an anode electrode, and InGa coated as a cathode electrode. The PEDOT:PSS coated on AlO<sub>x</sub> island is confirmed by the cross-sectional S.E.M. image shown at the figure-3.8(b).

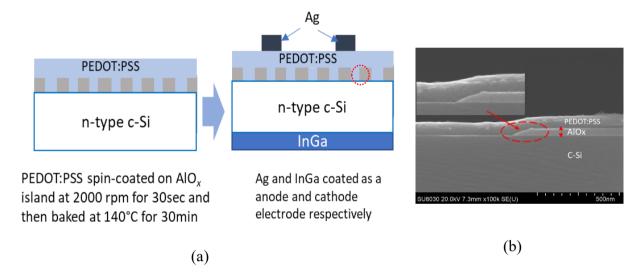


Figure-3.8: (a) Device fabrication of PEDOT:PSS/n-Si solar cell with  $AlO_x$  island, (b) Cross-sectional image of  $AlO_x$  island/PEDOT:PSS on n-Si substrate.

The interface of PEDOT:PSS/n-Si solar cells with  $AlO_x$  island at different area ratio were examined by the C-V, J-V, and EQE characteristics. The C-V measurements of the samples were carried out at 100 kHz by Hisol 2700-LCR embedded with Keithley 4200 system, J-V, and EQE by the solar simulator of model CEP-25BX designed by Bunkoukeiki, embedded with 1.5AM (100 mW/cm<sup>2</sup>) light source.

## 3.1.4 Fabrication process PEDOT:PSS/n-Si heterojunction solar cell with ultrathin $AlO_x/ch$ -SiO<sub>x</sub> (1~3 nm)

## 3.1.4.1 Fabrication process of *ch*-SiO<sub>x</sub> at the AlO<sub>x</sub>/n-Si interface

After the RCA1 and RCA2 cleaning with HF treatment, the n-type Czochralski n-Si (100) wafers (1–5  $\Omega$ ·cm) samples were immersed in hydrofluoric acid (HF) for 15 s. After that, ultrathin ch-SiO $_x$  tunnel layers were fabricated on the n-Si wafers in a 4 wt.% H $_2$ O $_2$  solution at temperatures of 25 °C and 80 °C for 10 min, for thicknesses of 1.39 nm and approximately 3 nm, respectively. After then, 6 cycles of ultrathin AlO $_x$  was deposited on the ch-SiO $_x$  coated samples. Then, the samples were subjected to FGA at 560 °C for 30 min and RTA at 425 °C for 15min. Figure-3.9 shows the fabrication process of ch-SiO $_x$  at the AlO $_x$ /n-Si interface.

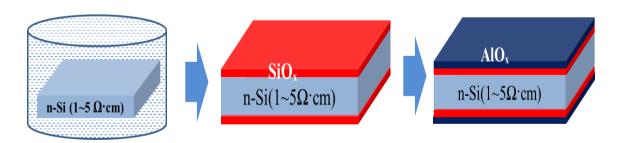


Figure-3.9: Fabrication process of ch-SiO<sub>x</sub> at the AlO<sub>x</sub>/n-Si interface

## 3.1.4.2 Fabrication process PEDOT:PSS/n-Si heterojunction solar cells with AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer

For front-PEDOT:PSS/n-Si heterojunction solar cell devices, PEDOT:PSS with 7 wt.% ethylene glycol was spin-coated at 2000 rpm for 20s on cleaned *n*-Si substrates

with solely ALD-AlO<sub>x</sub> and AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layers with and without RTA and FGA, followed by thermal annealing at 140 °C for 30 min to remove residual solvent. Then, Ag grid electrodes were formed on the front and rear sides using a screen printer (Newlong Seimitsu Co., Ltd. DP-320) followed by thermal annealing at 170 °C for 30 min. Finally, aluminum was evaporated from the entire area of the rear side to create the cathode contact. Figure-3.10 shows the schematic device diagram of PEDOT:PSS/n-Si heterojunction solar cell with ultrathin AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer.

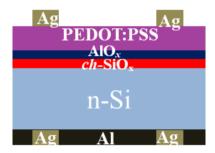


Figure-3.10: Schematic device diagram of PEDOT:PSS/n-Si heterojunction with ultrathin AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer

The current density-voltage (J-V) characteristics were measured in the dark and during exposure to simulated solar light of AM1.5G, 100 mW/cm<sup>2</sup> (Bunkoukeiki Co., Ltd., CEP-25BX). The light exposure area was masked using a shadow mask to prevent light leakage. The 2D maps of the solar cell parameters were obtained for devices with a 2×2 cm<sup>2</sup> area using a system to measure the distribution of the solar cell conversion efficiency (Lasertec, MP Series).

### 3.2 Characterization method

## 3.2.1 Micro-photoconductive decay (µ-PCD)

Figure-3.11 shows the principle of the  $\mu$ -photoconductive decay ( $\mu$ -PCD) measurement method. In this method, we monitor the decay of the excess photogenerated carrier by a pulse laser irradiation at 904 nm as the time evolution of microwave reflectance through the following equation.

$$\Delta n = \Delta n_0 \exp(-t/\tau) \tag{3.3}$$

Where  $n_0$  is the excess carrier concentration at time t=0, and t is the effective lifetime of the excess carrier. The measurement has been performed using a c-Si sample, where a dielectric layer symmetrically passivated both front and rear surfaces.

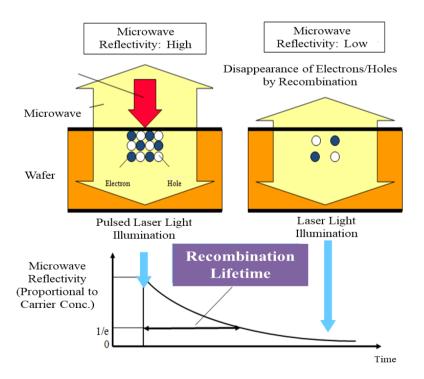


Figure-3.11: Principle of micro-photoconductive decay (μ-PCD) method

# 3.2.2 Forming gas annealing (FGA) and Rapid thermal annealing (RTA) method

Figure-3.12 shows the schematic diagram of forming gas annealing and rapid thermal annealing process. In the case of FGA, the samples were annealed at  $560^{\circ}$ C for 30 min under N<sub>2</sub>/H<sub>2</sub> (95/5) % gas mixture condition. On the other hand, in Rapid thermal annealing, the samples were annealed at  $425^{\circ}$ C for 15min under vacuum condition.

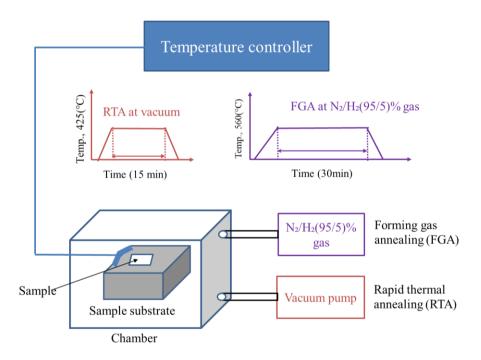


Figure-3.12: Schematic diagram of Forming gas annealing (FGA) and Rapid thermal annealing (RTA)

## 3.2.3 Atomic Force Microscopy (AFM)

Atomic force microscopy (AFM) is a very-high-resolution type of scanning probe microscopy (SPM), with demonstrated resolution on the order of fractions of a nanometer, more than 1000 times better than the optical diffraction limit. An AFM generates images by scanning a small cantilever over the surface of a sample. The sharp

tip on the end of the cantilever contacts the surface, bending the cantilever and changing the amount of laser light reflected into the photodiode. The height of the cantilever is then adjusted to restore the response signal resulting in the measured cantilever height tracing the surface. In this study, AFM5000II, Hitachi High-Tech Science system had been used to characterize the deposited films to understand the film's surface roughness. Figure-3.13 shows the principle of the AFM system.

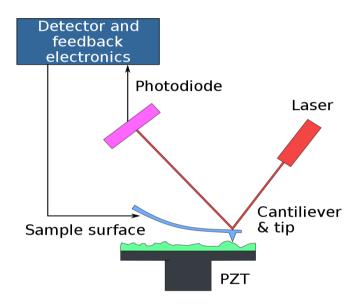


Figure-3.13: Schematic diagram of an AFM system.

## 3.2.4 Fourier-transform infrared spectroscopy (FTIR)

Fourier-transform infrared spectroscopy (FTIR) is a technique used to obtain an infrared spectrum of absorption or emission of a solid, liquid, or gas. An FTIR spectrometer simultaneously collects high-spectral-resolution data over a wide spectral range. This confers a significant advantage over a dispersive spectrometer, which measures intensity over a narrow range of wavelengths at a time. Figure-3.14 shows a schematic diagram of an FTIR set up with a beam splitter and compensator plate.

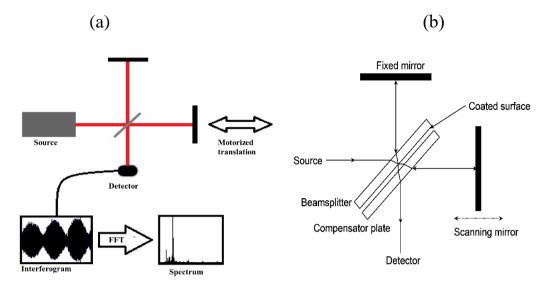


Figure- 3.14: (a) Schematic diagram of an FTIR set up, (b) Simple interferometer with a beam-splitter and compensator plate.

In this study, we have used the Shimadzu corporation (ITTracer-100 model) FTIR system. This system achieves excellent sensitivity with an SN ratio of 60,000:1, high resolution at 0.25 cm<sup>-1</sup>, and high-speed scanning capable of 20 spectra/second. The performance of medium and higher-end models is supported by high reliability, including advanced dynamic alignment and an interferometer with a dehumidifier. This is compatible with applications active in a variety of circumstances, with a library of approximately 12,000 spectra and data analysis programs for contaminant analysis, and time course and rapid scan programs for reaction tracking. Figure-3.15 shows the FTIR spectroscopy (Shimadzu, ITTracer-100 system) system.



Figure- 3.15: FTIR spectroscopy (Shimadzu, ITTracer-100 system)

## 3.2.5 Capacitance-Voltage (C-V) Profiling

Capacitance-voltage (C-V) profiling is a technique for characterizing semiconductor materials and devices. The applied voltage is varied, and the capacitance is measured and plotted as a function of voltage. The technique uses a metal-semiconductor junction (Schottky barrier) or a p-n junction or MOSFET to create a depletion region. However, C-V measurements are widely used to characterize the semiconductor parameters like MOS device, photovoltaic cells, TFT device, and so on. In this study, the electrical properties (like built-in potential, interface trap density, negative fixed charged density, and others) are characterized by the C-V measurements of the corresponding samples were carried out at 100 kHz by Hisol 2700-LCR embedded with Keithley 4200 system. Figure-3.16 shows (a) the schematic diagram of the MIS device, and (b) modeled ideal C-V curve (no interface state, V<sub>fb</sub>=0V) of a silicon MIS capacitor at low and high frequencies.

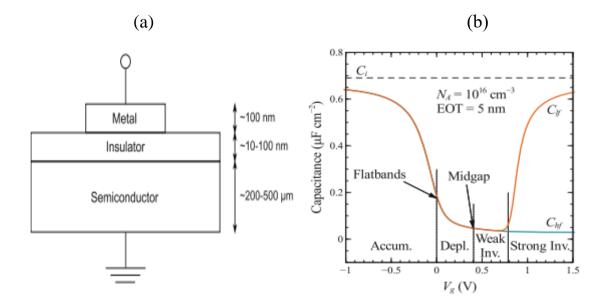


Figure-3.16: (a) Schematic of an MIS structure used in C-V measurement, (b) Modelled ideal C-V curve (no interface state,  $V_{fb}$ = 0V) of a silicon MIS capacitor at low and high frequencies. The voltage ranges corresponding to accumulation, depletion, weak inversion, and strong inversion are indicated.

## 3.2.6 X-ray electron spectroscopy (XPS) method

Figure-3.17 shows the principle of XPS for the ultrathin thick  $SiO_2$  on Si substrate. In XPS, when an x-ray of known energy (hv) is applied to a molecule, then an electron is knocked out from the electron orbit in the molecule with a kinetic energy  $E_k$ . Then the binding energy,  $E_b$  is defined by the relations

$$E_k = hv - E_b - \varphi \tag{3.4}$$

Where binding energy for the different chemical bond of the measured samples is shown by survey spectra, in this study, AXIS Nova (Kratos Analytical) equipped with a monochromatic X-ray source (Al Ka)) was used a 1 mm square sputtering was performed

with a 5 keV argon gas cluster (Ar2000 +) using a 110- $\mu$ m slit measurement. Based on this principle, an XPS scan spectrum for ~5A° SiO2 on Si substrate is shown in figure-3.17 (b). The deconvolution of Si 2P core level for ~5Ao thick SiO2 on Si substrate and the possible Si suboxide peaks.

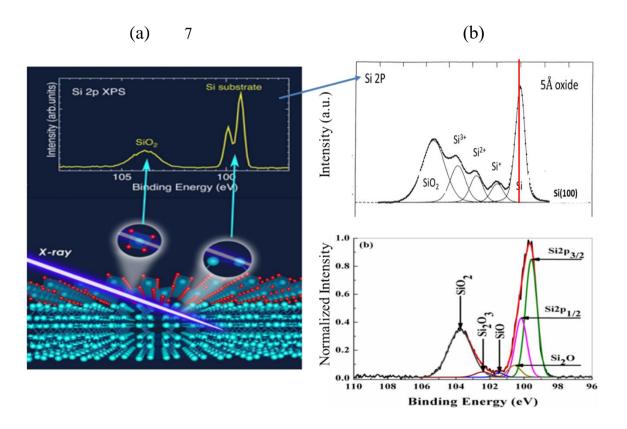


Figure-3.17: (a) principle of XPS with scan spectra for the ultrathin thick  $SiO_2$  on Si substrate, (b) deconvolution of Si 2P core level for  $\sim 5A^o$  thick  $SiO_2$  on Si substrate and the possible Si suboxide peaks

## 3.2.7 Photoemission Yield Spectroscopy in Air (PYSA)

When the ultraviolet photons are emitted from an ultraviolet lamp, then the undergo wavelength selection (energy selection) in a spectrometer before being focused on the surface of a sample placed on the sample stage (in the open air). Due to the

photoelectric effect, when the photoelectrons are discharged from a material surface, then the electrons are counted by an open counter, and the count is processed and the results displayed on a PC. The wavelength  $\lambda$  of the ultraviolet radiation is converted into the light energy E using the following equation.

$$E = hv = hc/\lambda \tag{3.5}$$

Where h is the Plank constant, v is the frequency, and c is the speed of light). The value of the threshold energy of photoemission, which corresponds to the ionization potential, is determined from the energy of an interesting point between the background line and the extrapolated line of the linear portion of square root plots of the photoemission yield. If the sample is metal, the value of the threshold energy of photoemission corresponds to the work function. Figure-3.18 shows a system configuration and measurement samples of PYSA measurement (AC-2 series).

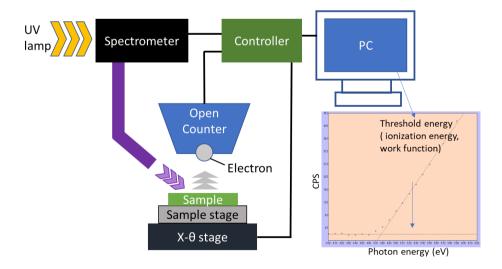


Figure-3.18: Schematic diagram of a PYSA system configuration with PC screen of a measurement sample of AC-2 by PYSA system.

The energy diagram shown in figure-3.19 explains the orbits and energy of electrons inside a material. It is a fundamental diagram for understanding how electronic devices work. The values measured by the photoelectric emission threshold energy) are work function (for metals) and ionization potential (for semiconductors and organic materials). This, therefore, reveals the valence band upper-end energy for metals and semiconductors and the HOMO energy for organic materials.

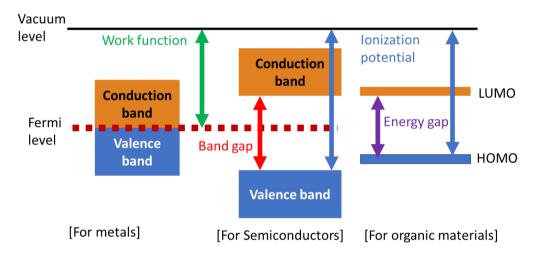


Figure-3.19: Energy band diagram for metals, semiconductors or organic materials measured by PYSA system configuration

### 3.2.8 Four-probe method for sheet resistance measurement

Sheet resistance is an important property of many materials, quantifying the ability for the charge to travel along with uniform thin films. Like, this property is critical in the creation of high-efficiency perovskite photovoltaic devices, where low sheet resistance materials are needed to extract charge. The most common technique used for measuring sheet resistance is the *four-probe method*. This technique involves using four equally spaced, co-linear probes (known as a four-point probe) to make electrical contact

with the material. A DC current is applied between the outer two probes, and a voltage drop is measured between the inner two probes. The sheet resistance can then be determined using the following equation:

$$Rs = \frac{\pi}{\ln(2)} \frac{\Delta V}{I} = 4.53 \frac{\Delta V}{I} \tag{3.6}$$

Where,  $R_s$  is the sheet resistance,  $\Delta V$  is the change in voltage measured between the inner probes, and I is the current applied between the outer probes. The sheet resistance is expressed with the units  $\Omega$ /sq., or "ohms per square" to differentiate it from bulk resistance. Figure-3.20 (a) shows a schematic diagram of a four-probe method, and (b) it's an equivalent circuit diagram that shows the wire resistance ( $R_W$ ), contact resistance ( $R_C$ ), and sample resistance ( $R_S$ ). The green arrows represent the current flow.

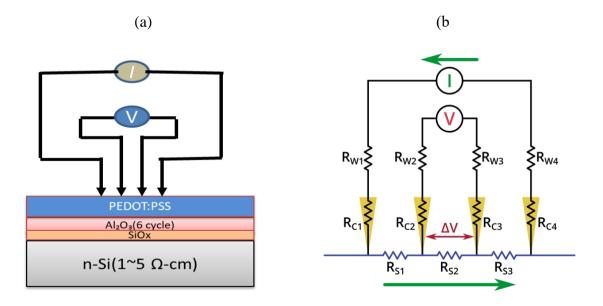


Figure-3.20: (a) Schematic diagram of PEDOT:PSS/AlO<sub>x</sub>/ch-SiO<sub>x</sub>/n-Si device measuring sheet resistance by a four-probe circuit, and (b) its equivalent circuit diagram.

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## **Chapter 4**

## ALD-AlO<sub>x</sub> related result and discussion

### 4.1 Fundamental properties of $AlO_x$ film deposited by ALD

### 4.1.1 ALD-AlO $_x$ film characterization

### 4.1.1.1 ALD- $AlO_x$ film thickness

AlO<sub>x</sub> film thicknesses were measured using a spectroscopic ellipsometer (S.E.). Values of  $\Psi$  and  $\Delta$  that obtained over the spectral range of 1.5-6.5 eV for an incidence angle of 70o. This incidence angle is close to the silicon Brewster angle of  $\theta_B$ =75.50. Measured data were fitted using a least-squares algorithm. AlO<sub>x</sub> and SiO<sub>x</sub> have virtually identical optical constants. Consequently, a simple ellipsometry measurement yields only the sum of the AlO<sub>x</sub> and SiO<sub>x</sub> film thicknesses. It is needed to determine the true AlO<sub>x</sub> thickness and the thickness of an interfacial SiO<sub>2</sub> layer on the n-Si substrate, a procedure was employed that utilized simultaneous ALD-AlO<sub>x</sub> growth on both an HF-etched Si wafer and a Si wafer with native oxide. The thickness of the native SiO<sub>2</sub> layer was initially measured on the Si (100) wafer. Using the bulk SiO<sub>2</sub> refractive index and the  $\Psi$  and  $\Delta$  values from the S.E. analysis algorithm yielded a SiO<sub>2</sub> native oxide thickness of 31 A°.

Because of high tunneling and leakage currents, ultrathin SiO<sub>2</sub> layers can exhibit a different refractive index, n, then the bulk oxide. The corrected refractive index for a SiO<sub>2</sub> layer with a thickness,  $T_{ox}$ , ranging from 1.4 to 8 nm can be obtained using [1]:

$$n_o = 2.139 - 8.991 \times 10^2 T_{ox} + 1.872 \times 10^{-3} (T_{ox})^2$$
 (4.1)

With this new refractive index, another fitting was performed to determine a new

thickness. This fitting was obtained for both the refractive index and the oxide thickness. The native oxide thickness was found to be 23 A $^{\circ}$  based on the corrected refractive index n=1.94.

Subsequently, the AlO<sub>x</sub> thickness was measured for the ALD- AlO<sub>x</sub> films grown on the n-Si substrate with the native oxide. Using a native oxide SiO<sub>2</sub> layer thickness of 23 A°, the AlO<sub>x</sub> thickness was obtained by subtracting the contribution of the underlying SiO<sub>x</sub> film from the total measured thickness. Assuming the same ALD- AlO<sub>x</sub> growth rate on both the HF-etched n-Si and the native oxide SiO<sub>2</sub> layer on n-Si, the SiO<sub>x</sub> interfacial layer was determined on the HF-etched n-Si after depositing AlO<sub>x</sub>. This analysis yielded a 13 A° thick SiO<sub>x</sub> interfacial oxide layer on an HF-etched Si (100) substrate after 120 AB cycles of ALD- AlO<sub>x</sub> at 180°C. Results from numerous samples revealed that a SiO<sub>x</sub> oxide layer thickness of  $13\pm2$  A° was observed after 50-170 AB cycles of ALD- AlO<sub>x</sub> at substrate temperatures from 160-220°C.

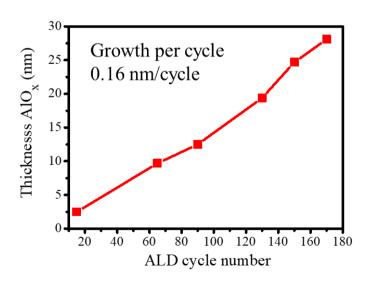


Figure-4.1: AlO<sub>x</sub> thickness plotted as a function of ALD number of cycles,

Figure 4.1 shows the ALD-AlO<sub>x</sub> films thickness as a function of ALD no of cycles. Here the substrate temperature or growth temperature was kept at  $180^{\circ}$ C. At fixed substrate temperature, the film thickness increases linearly with the increasing ALD no of cycles from 15 to 180 cycles. And the growth rate at fixed substrate temperature was observed of 0.16nm per cycle.

Figure 4.2 shows the ALD- AlO<sub>x</sub> film growth rate plotted against the different substrate temperatures from 160 to 220°C. The film growth rates show a minimum of 0.10 nm/cycle at 200°C. These results indicate that higher growth temperatures may lead to higher densities and higher dielectric constants. Also, it is shown that higher temperatures lead to a decrease in incorporated hydroxyls in ALD- AlO<sub>x</sub> films. To understand the chemical bond composition and surface morphology on substrate temperate, we also studied the surface morphology by AFM and FTIR analysis.

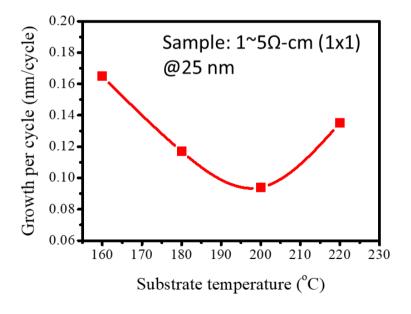


Figure-4.2: The growth rate of  $AlO_x$  film vs. substrate temperature

## **4.1.1.2 AFM study**

Figure-4.3 shows the AFM images for 25nm thick ALD- AlO<sub>x</sub> film at different substrate temperatures, whereas the substrate size was  $1x1 \mu m^2$  and the z-axis was 1nm. At the substrate temperature of  $160^{\circ}$ C, some large peaks and holes were observed on the surface, which RMS values were about 0.52nm. One of the possible reasons is that at lower substrate temperature, the sticking probability of TMA is comparatively lower than the H<sub>2</sub>O. But when the substrate temperature was increased, then the giant peaks and holes were decreased with the decreasing of RMS value to 0.29 nm at 200°C. And after that, the RMS roughness again was increased due to increased large peaks and holes compared with 200°C. These results indicate that the optimized uniform ALD- AlO<sub>x</sub> film is achieved on the n-Si substrate at the 200°C substrate temperature due to the increased sticking of TMA precursor than the H<sub>2</sub>O precursor.

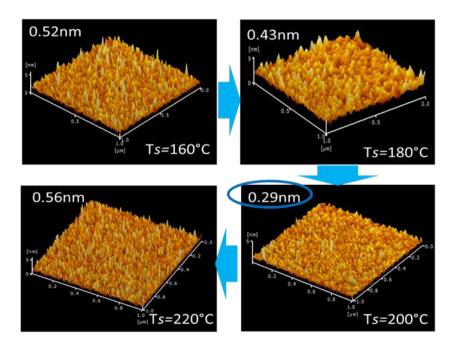


Figure-4.3: AFM images of ALD- AlO<sub>x</sub> films at a different substrate temperature

To understand the chemical bond composition of the ALD-  $AlO_x$  on the n-type c-Si, FTIR spectra for the corresponding films were analyzed, shown in figure-4.4. Table-4.1 summarizes the local vibrational mode of  $ALD-AlO_x$  related to the absorption peaks were achieved from the films c-Si

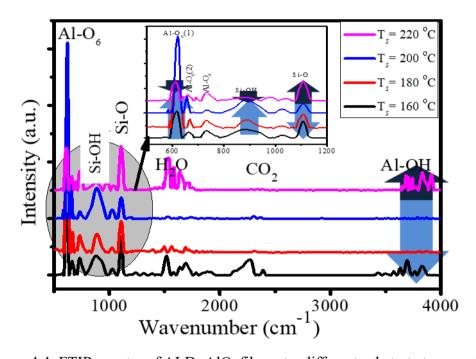


Figure-4.4: FTIR spectra of ALD- AlO<sub>x</sub> films at a different substrate temperature

Figure-4.4: FTIR spectra of ALD-AlO<sub>x</sub> films at a different substrate temperature from 160°C to 220°C. In the case of ALD-Al<sub>2</sub>O<sub>3</sub> films at the substrate temperature of 160°C, the Al(OH) stretching peak in the range of 2600-3800 cm<sup>-1</sup> is prominent. However, this peak disappears as the substrate temperature is increased up to 200°C, while peaks are related to O-Al-O (550-750 cm<sup>-1</sup>), and Si-O-Al (~1100 cm<sup>-1</sup>) emerge. The first peak can be assigned to the Al-O bending modes (expected to appear at approximately 603 cm<sup>-1</sup>) for octahedral AlO<sub>6</sub> as well as the stretching vibration of tetrahedral (AlO<sub>4</sub>) (which should appear in the range of 728-886 cm<sup>-1</sup>). Several fine peaks were also observed

attributing to Si-OH, Si-O-Si, or Al-O-Si band at 300-1100 cm<sup>-1</sup>, where the Si-O related peak appeared at 1103cm<sup>-1</sup>, which were intense at 200°C temperature. Also, the Al-OH related peaks at 3600cm<sup>-1</sup> wavenumber were reduced at that substrate temperature. These findings suggest that the sticking of TMA and removal of the methyl group by water supply is balanced at 200°C. Then, in the following, we deposit ALD-Al<sub>2</sub>O<sub>3</sub> films at a substrate temperature of 200°C.

Table 4.1: Local vibration modes of  $AlO_x$  related FTIR peaks

Wavenumber	Molecule	Vibrational Surrounding		Reference	
(cm <sup>-1</sup> )		Mode			
400-530	Al-O	Stretching	Octahedral matrix	2-6	
550-750	O-Al-O	Bending	Octahedral and	2-7	
			tetrahedral matrix		
750-850	Al-O	Stretching	tetrahedral matrix	2-7	
900-1200	Si-O/O-Si-O/	Stretching	SiO/SiO <sub>2</sub>	5-6	
	Si-OH				
1300-1750	Н-О-Н	Bending	tetrahedral and	6	
			octahedral γ-		
			Aluminum ions		
2300-2350	O-C-O	Stretching	$Al_2O_3$	7	
3000-3600	Si-OH	Stretching		8	
2600-3800	О-Н	Stretching	Al-OH	6	

Figure-4.5 shows the effective lifetime minority carrier lifetime of ALD deposited AlO<sub>x</sub> on n-type c-Si before and after rapid thermal annealing (RTA) at 425°C

for 15 min under vacuum condition using the  $\mu$ -PCD method as discussed earlier. The effective lifetime values were determined using the following equation:

$$\frac{1}{\tau_{eff}} = \frac{1}{\tau_{bulk}} + \left[ \frac{W}{2S} + \frac{1}{D_n} \left( \frac{W}{\pi} \right)^2 \right]^{-1} \tag{4.2}$$

where  $\tau_{bulk}$  is the bulk lifetime of the Si substrate, S is the surface recombination velocity, W is the substrate thickness (250±.060 µm), and  $D_n$  is the diffusion length (37 nm for c-Si. [9,10] The effective lifetime values increased with the increase in ALD number of cycles before and after RTA, and its value reached to 280-300 µs for approximately 25nm thick ALD-AlO<sub>x</sub> on the n-Si substrate after RTA. However,  $\tau_{\rm eff}$  was decreased for 6–15 cycles corresponding to a 1-2-nm thickness after RTA. One of the possible reasons is that at the lower thickness of AlO<sub>x</sub>, the passivation quality of AlO<sub>x</sub> coated on c-Si is deteriorating due to the reduction of oxidation at the c-Si surface after rapid thermal annealing under vacuum condition. Whereas the passivation quality is increased with the increased oxidation of n-Si surface for the higher thickness of AlO<sub>x</sub> on the n-Si surface.

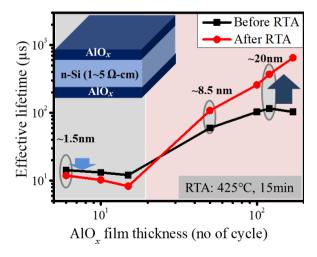


Figure-4.5: Effective minority carrier lifetime of ALD-AlO $_x$  on the n-Si substrate at different values of layer thickness.

Thus, we classified two different thickness regimes of ALD-AlO<sub>x</sub>. One is the thicker layer thickness regimes of about 20 nm, and another is the thinner regime of 1-2 nm. We applied both thickness regimes of AlO<sub>x</sub> as a strong field-inversion layer for the PEDOT:PSS/n-Si anode interface, which was described in the next part.

- 4.2 Effect of ALD-AlO $_x$  island at the PEDOT:PSS/n-Si interface property by the UV photolithography process
- 4.2.1 Effect of  $AlO_x$  island at the PEDOT:PSS/n-Si interface for different area ratio of  $AlO_x$  and PEDOT:PSS

Figure-4.6(a) shows the  $1/C^2$ -V plots measured at 100 kHz for the pristine PEDOT:PSS device with and without different area ratio AlO<sub>x</sub> island to PEDOT:PSS. The built-in field, V<sub>bi</sub>, and donor density, N<sub>d</sub> can be calculated through the following well-known equation:

$$\frac{1}{C^2} = \frac{2\left(\frac{kt}{q} - V_{bi} - V\right)}{qA^2 \varepsilon_0 \varepsilon_{si} N_d} \tag{4.3}$$

where A is the diode area, and  $\varepsilon_0 \varepsilon_{si}$  is the permittivity of silicon [11].

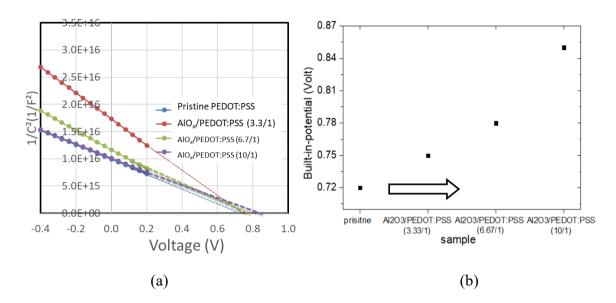


Figure-4.6: (a)  $1/C^2$  vs. voltage (b) summarized built-in field of PEDOT:PSS/n-Si solar cell with AlO<sub>x</sub> island at different area ration of AlO<sub>x</sub> island & PEDOT:PSS

Through the above equation (4.2), we calculated the built-in field, which is summarized in figure 4.6(b) for different area ratios of  $AlO_x$  island compared with pristine PEDOT:PSS device. In the case of pristine PEDOT:PSS device, Vbi is 720mV, which is increased to 750 to 850mV for the increasing  $AlO_x$  island ratio compared to PEDOT:PSS area. These results indicate that a strong inversion appeared at the PEDOT:PSS/n-Si interface once the higher thickness of  $AlO_x$  island film was inserted at the interface.

Figure-4.7 (a,b) shows the photocurrent-voltage (J-V) curve for the  $AlO_x$  island inserted PEDOT:PSS/n-Si solar cells for different area ratios of  $AlO_x$  island and PEDOT:PSS compared with pristine PEDOT:PSS device. From the dark J-V curve (figure-4.7(a)), we found that the reverse current density for all area ratios of  $AlO_x$  island/PEDOT:PSS device is suppressed to be lower compared with the pristine PEDOT:PSS device. On the other hand, the current density is higher for the  $AlO_x$  island device shown in figure-4.7(b). These suggest that the mobility in this inversion layer,

which is expected to increase with increasing inversion strength due to improved screen of donor ions, is enough to extract carriers over larger distances.

However, contrary to our expectations, the open-circuit voltage,  $V_{oc}$ , was not significantly increased. One of the possible reasons is that due to the increased sheet resistance of the higher area ratio of  $AlO_x$  island at the PEDOT:PSS/n-Si device, which is confirmed from the forward dark J-V curve. For this reason, the fill factor (F.F.) markedly deteriorated with increasing the area ratio of  $AlO_x$  island, which reduce the overall efficiency. The details of photovoltaics parameters are summarized in table-4.2.

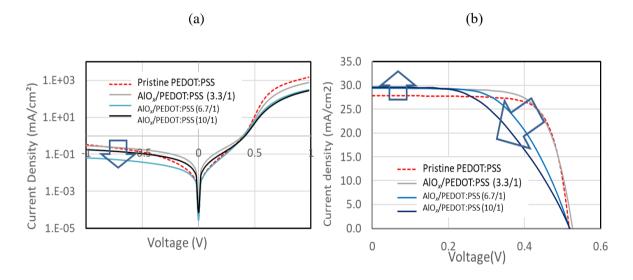


Figure-4.7: Photocurrent-Voltage (J-V) curve for the PEDOT:PSS/n-Si solar cell with  $AlO_x$  island under (a) dark condition, (b) illumination at different area ration of  $AlO_x$  island & PEDOT:PSS

Table-4.2: Photovoltaic parameters of the pristine PEDOT:PSS/n-Si device with a different area ratio of AlO<sub>x</sub> island & PEDOT:PSS

Device type	Jsc (mA/cm <sup>2</sup> )	Voc (V)	FF	PCE (%)	
Pristine PEDOT:PSS	27.7	0.516	0.75	10.8	
AlOx/PEDOT:PSS (3.3/1)	29.4	0.525	0.72	11.0	
AlOx/PEDOT:PSS (6.7/1)	29.38	0.517	0.58	8.84	
AlOx/PEDOT:PSS (10/1)	28.07	0.518	0.50	7.68	

Figure-4.8(a) shows the external quantum efficiency (EQE) for the pristine PEDOT:PSS device with all different area ratios of  $AlO_x$  island, whereas figure 4.8(b) shows the reflectance curve for the corresponding device. From the EQE curve, it is found that in the case of  $AlO_x$  island inserted device, the enhancement of which is photocurrent within the visible spectrum region was found to derive primarily due to a wider depletion width as well as the suppression of the reflection at the corresponding region. These results indicate that the  $AlO_x$  island acts as an anti-reflection layer instead of the field passivation layer. Also, we investigated the effect of  $AlO_x$  island at PEDOT:PSS/n-Si interface for different donor density substrate where the  $AlO_x$  island area was  $15 \mu m \times 15 \mu m$  with fixed gap interval of  $15 \mu m$ . Later, we will discuss it.

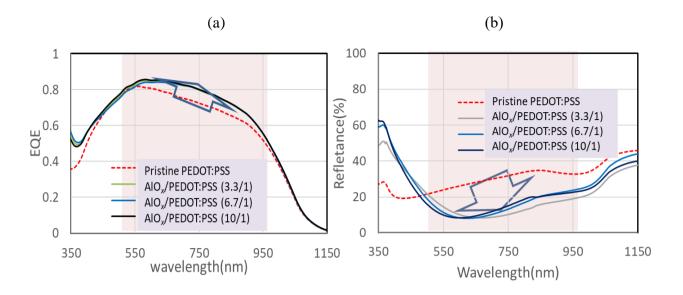


Figure-4.8: (a) External quantum efficiency (EQE) (b) Reflectance of PEDOT:PSS/n-Si solar cell with AlO<sub>x</sub> island at different area ration of AlO<sub>x</sub> island & PEDOT:PSS

# 4.2.2 Effect of AlOx island at the PEDOT:PSS/n-Si interface for different donor density substrate

Figure-4.9 (a,b) shows the photocurrent-voltage (J-V) curve for the AlO<sub>x</sub> island inserted PEDOT:PSS/n-Si solar cells for different donor density substrate for AlO<sub>x</sub> island and PEDOT:PSS (15 $\mu$ m x 15  $\mu$ m) compared with pristine PEDOT:PSS device. The dotted line indicates the pristine device for all donor density, and the solid line indicates the AlO<sub>x</sub> island inserted device. From the dark J-V curve (fig-4.9(a)), we found that the reverse current density for all donor density of AlO<sub>x</sub> island/PEDOT:PSS device is suppressed to be lower compared with the pristine PEDOT:PSS device for all donor density substrate whereas the current density is higher for the AlO<sub>x</sub> island device shown in figure-4.9(b). These suggest that the mobility in this inversion layer, which is expected to increase with increasing inversion strength due to improved screen of donor ions, is enough to extract carriers over more considerable distances. Figure-4.9(c) shows the external quantum

efficiency for the corresponding device, which indicates that the  $AlO_x$  island for different donor density devices acts as an anti-reflection layer instead of the passivation layer.

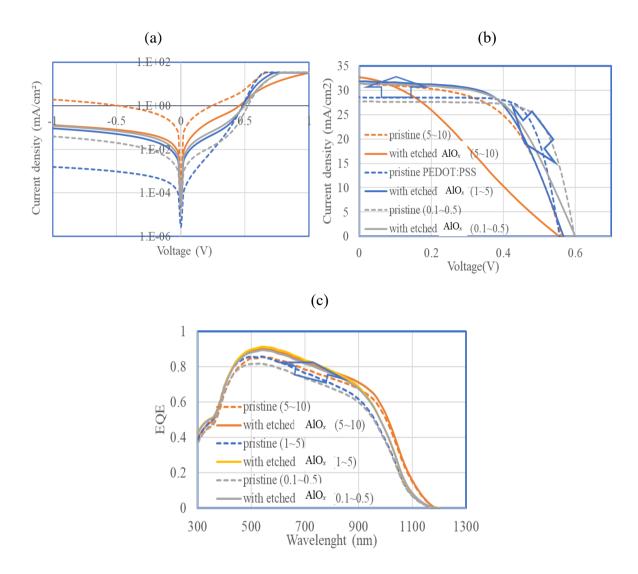


Figure-4.9: Photocurrent-Voltage (J-V) curve for the PEDOT:PSS/n-Si solar cell with AlO<sub>x</sub> island under (a) dark condition, (b) illumination (c) EQE at different donor density substrate

Figure-4.10(a,b) shows the summarization curve of the PV performance parameters for all donor density substrate. In figures, the open black square and open red

circle indicate the current density, open-circuit voltage, fill factor (FF) and power conversion efficiency (PCE) for the different donor density of the pristine device. And filled square and circled indicate the  $AlO_x$  island device for all donor density devices. In figure-4.10(a), the current density is increased once the  $AlO_x$  island was inserted at the PEDOT:PSS/n-Si interface. In the meantime, there is no significant change of open-circuit voltage once the  $AlO_x$  island was inserted at that interface. On the other hand, the FF and PCE were deteriorated due to a higher resistive effect.

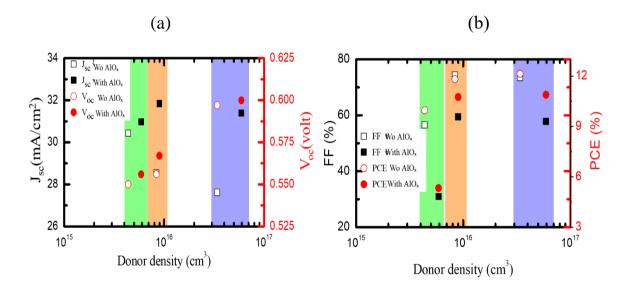


Figure-4.10: (a) Current density and open-circuit voltage curve, (b) fill-factor and power conversion efficiency for different donor density substrate compared with pristine PEDOT:PSS device.

Figure-4.11 shows the carrier concentration in the inversion layer and built-in potential as a function of different donor density. From this figure, it is indicated that at higher donor density, the higher open-circuit voltage with the lower carrier concentration in the inversion layer.

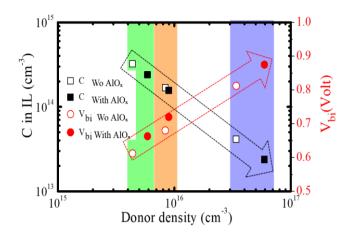


Figure-4.11: Carrier concentration and built-in potential as a function of different donor density substrate

From the above discussion regarding the effect of  $AIO_x$  island at the PEDOT:PSS/n-Si for both different area ratios and donor density, it is depicted that a higher built-in field is obtained due to the increased area ratio of  $AIO_x$  island compared with PEDOT:PSS, which revealed that a stronger inversion layer had been produced at the PEDOT:PSS/n-Si interface. Also, the higher donor density substrate shows the high built-in potential. Though the photocurrent-voltage (J-V) curve shows, the current density is increased for the  $AIO_x$  inserted devices compared with the pristine device, which is confirmed from the enhancement of photocurrent as well as the suppression of reflectance in the visible to the near-infrared spectrum region, the  $V_{oc}$  is not significantly improved due to the presence of higher sheet resistance. Thus, we found that the  $AIO_x$  island here mainly acts as an anti-reflection coating (A.R.C.) layer. Therefore, we turn our study to the effect of the insertion of an ultrathin  $AIO_x/ch$ -SiO<sub>x</sub> oxide stack layer at the PEDOT:PSS/n-Si interface as a tunnel oxide to improve the junction quality.

- 4.3 Effect of thermally annealed atomic-layer-deposited AlO<sub>x</sub>/ chemical tunnel oxide stack layer at the PEDOT:PSS/n-type Si interface to improve its junction quality
- 4.3.1 Effect of FGA and RTA at the stack layer of ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/c-Si

## 4.3.1.1 Effective lifetime of ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer on c-Si

Figure 4.12(a) shows the correlation between  $\tau_{\rm eff}$  and the number of ALD cycles on the *n*-Si substrate before and after RTA at 425 °C for 15 min under vacuum. The  $\tau_{\rm eff}$  increased from approximately 15 to 100  $\mu$ s with the increase in the number of ALD cycles for the as-deposited AlO<sub>x</sub> layer, and it increased markedly for the AlO<sub>x</sub> layers with thicknesses exceeding approximately 8.5 nm (50 cycles) after RTA. It reached 280–300  $\mu$ s in approximately 20-nm-thick AlO<sub>x</sub> on n-Si (120 cycles), whereas it decreased significantly in 1 -3- nm-thick (5–15 cycles) AlO<sub>x</sub> layers where the  $\tau_{\rm eff}$  was 5–15  $\mu$ s. On the other hand, significant increases in  $\tau_{\rm eff}$  were obtained by increasing the thickness of the entire AlO<sub>x</sub> layer from approximately 1 to 25 nm (150 cycles) by using a combination of FGA and the insertion of ultra-thin *ch*-SiO<sub>x</sub> layers at the AlO<sub>x</sub>/n-Si interfaces.

Figure 4.12(b) shows  $\tau_{eff}$  of solely six-cycle ALD-AlO<sub>x</sub> and six-cycle ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stacks of ultra-thin layers on the n-Si substrate before and after FGA at 560 °C for 30 min. The  $\tau_{eff}$  of six cycles of solely ALD-AlO<sub>x</sub> increased from 48 to 70 µs after FGA, and for the ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> (25 and 80 °C) stacked thin layers,  $\tau_{eff}$  increased to 300–331.8 µs. These findings indicate that the local chemical bonding configuration of the *ch*-SiO<sub>x</sub> suboxide at the ALD-AlO<sub>x</sub>/n-Si interface dominates the passivation quality.

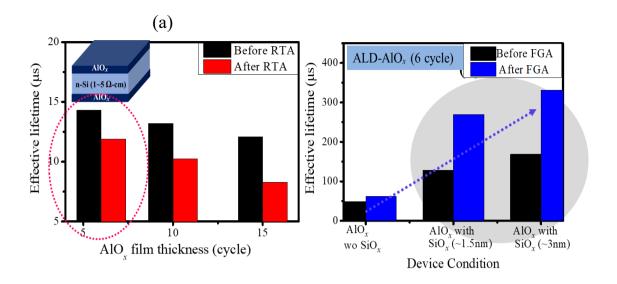


Figure-4.12 (a) Correlation between  $\tau_{\rm eff}$  and ALD number of cycles for ALD-AlO<sub>x</sub> on n-Si before and after RTA for 5-15 cycles. (b)  $\tau_{\rm eff}$  of ALD-AlO<sub>x</sub> (six cycles) and ALD AlO<sub>x</sub>/ch SiO<sub>x</sub> stack layers on n-Si (1–5  $\Omega$ ·cm) substrate before and after FGA at 560 °C for 30 min.

# 4.3.1.2 Effect of RTA and FGA on the ALD-AlO<sub>x</sub> with and without ch-SiO<sub>x</sub> (1~3nm) by C-V study

To understand the effect of RTA and FGA at the  $AlO_x/n$ -Si interface with and without ch-SiO<sub>x</sub>(1~3nm), we have investigated the Capacitance-Voltage study. Figure-4.13 shows a capacitance-voltage curve for  $AlO_x/ch$ -SiO<sub>x</sub>/n-Si interface at high frequency, where both forward and reverse capacitance are indicated. Here a hysteresis curve has occurred for all the corresponding samples from which we can measure the flat-band voltage. D. Hoogeland et al., suggests that the negative fixed charge density  $Q_f$  can be calculated from the following equation

$$V_{FB} = \varphi_{MS} - \frac{Q_f}{C_{ox}} \tag{4.4}$$

Where  $V_{fb}$  is the flat band voltage,  $Q_{MS}$  is the Al-Si work function, and  $C_{ox}$  is the oxide charge at the accumulation region.

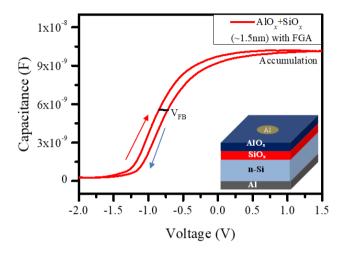


Figure-4.13: A typical C-V curve for the MIS device.

Figure-4.14(a) shows the negative fixed charge density for the  $AlO_x/n$ -Si interface with and without ch-SiO<sub>x</sub> for as-deposited, for RTA and FGA condition. At the  $AlO_x/n$ -Si interface, the negative fixed charge density (Q<sub>f</sub>) is maximum for the FGA condition, which means that the negative fixed charge density is more stored near the n-Si interface for the FGA condition compared with as-deposited and RTA. That indicates the evaluation of the dangling bond near the surface is increased due to the FGA effect. The same phenomena have appeared for  $AlO_x/ch$ -SiO<sub>x</sub>/n-Si interface also.

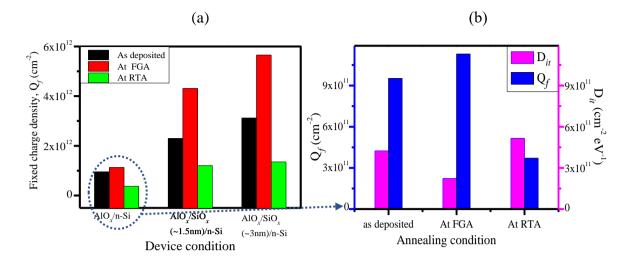


Figure-4.14: (a) negative fixed charge density ( $Q_f$ )as a function with  $AlO_x/n$ -Si with and without ch-SiO<sub>x</sub> (1~3nm) for as-deposited, FGA and RTA condition, (b)  $Q_f$  and  $D_{it}$  as a function with different annealing condition.

Figure-4.14(b) shows the negative fixed charge density and the interface trap density for  $AlO_x/n$ -Si interface for different annealing conditions. The interface trap density can be derived from figure-4.13 and the following equation:

$$D_{it}(\varphi_s) = {\binom{C_{ox}}{q}} {\binom{C_{hf}}{(C_{ox} - C_{hf})}} - {\binom{C_{lf}}{(C_{ox} - C_{hf})}} (cm^{-2}eV^{-1}), \qquad (4.5)$$

where,  $C_{lf}$  and  $C_{hf}$  are the low and high measurement frequencies of 10 kHz and 1 MHz, respectively. From the figure, it is found that the interface trap density is minimum, with the maximum negative fixed charge density was found due to the FGA effect compared with as deposited or RTA treated samples. These findings indicate that due to the increasing dangling band at the interface, the interface trap density at the interface is reduced with the increase of fixed charge density. For more understanding, the effect of the FGA and RTA on the local chemical bond, we also investigated the X-ray

photoelectron spectroscopy (XPS) for the  $AlO_x/n$ -Si interface with and without ch-SiO<sub>x</sub>.

# 4.3.1.3 Study of XPS for the ALD-AlO<sub>x</sub>/SiO<sub>x</sub> stack layer on c-Si with and without FGA.

Figure 5.15(a)-(c) show the XPS Si(2p) core energy region spectra for six-cycle ALD AlO<sub>x</sub>/n-Si interface regions with and without tunnel oxide ch-SiO<sub>x</sub> (1~3nm) layers before and after RTA (425 °C for 15 min) and FGA (560 °C for 30 min), as well as those of the suboxide  $SiO_x$  at 100–105 eV. A detailed analysis of the chemical shifts for the Si(2p) peaks reveals the presence of the SiO<sub>x</sub> suboxide components Si<sup>2+</sup>, Si<sup>3+</sup>, Si<sup>\*</sup>, and Si<sup>4+</sup> in addition to the Si metal peaks at 99.3 eV and 99.9 eV for 2p<sub>3/2</sub> and 2p<sub>1/2</sub>, respectively. Among these components, the Si\* peak exhibited an unknown valence state that does not correspond to the Si<sup>+</sup>, Si<sup>2+</sup>, Si<sup>3+</sup>, and Si<sup>4+</sup> components. For as-deposited six-cycle ALD- $AlO_x$  on n-Si with and without ch-SiO<sub>x</sub> (1~3nm) layers, the Si<sup>+</sup> peak at 100.3 eV and the broadband peaks forming a shoulder in the higher energy region. In addition, the suboxide Si\* peak at 101.9 eV in the as-deposited AlO<sub>x</sub>/n-Si interface shifted to the higher energy of 102.9 eV, and the intensities of Si<sup>2+</sup>, Si<sup>3+</sup>, Si<sup>\*</sup>, and Si<sup>4+</sup> were increased by inserting tunnel oxide ch-SiO<sub>x</sub> layers. However, all the samples exhibited similar spectra comprising of Si\* and Si4+ components with smaller broadband peaks attributed to the formation of shoulders by Si<sup>2+</sup> and Si<sup>3+</sup> after FGA (Fig. 5.15(b)). On the other hand, the suboxide Si\* and Si<sup>4+</sup> peaks at the AlO<sub>x</sub>/n-Si interface after RTA shifted to lower regions compared with as-deposited and FGA-treated samples with and without *ch*-SiO<sub>x</sub> insertion.

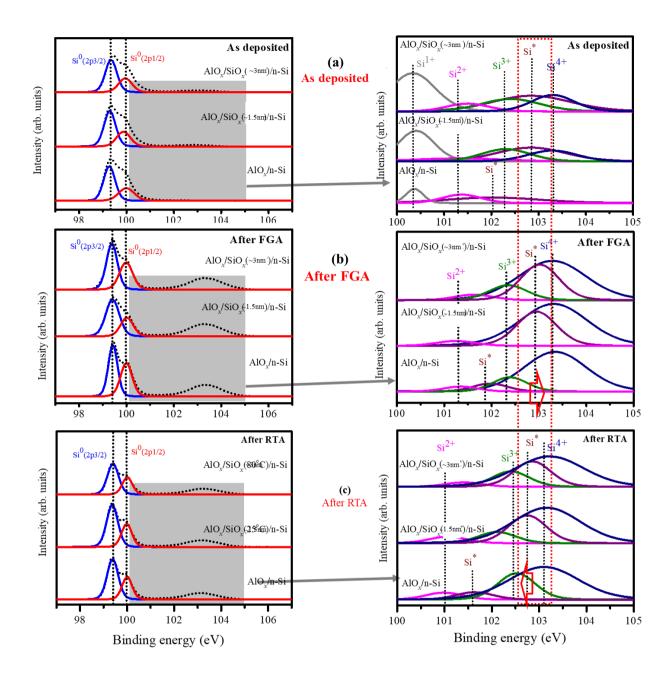


Figure-4.15: XPS Si(2p) core energy region spectra of ALD-AlO<sub>x</sub> (six cycles) with and without tunnel oxide ch-SiO<sub>x</sub> (1~3nm) layers (a) as-deposited, (b) after FGA at 560 °C for 30 min, and (c) RTA at 425 °C for 15 min. The subfigures on the left show the spectra between 100-105 eV. The subfigures on the right show the enlarged view of the same energy region.

Table 4.3 summarizes the chemical shifts of the SiO<sub>x</sub> suboxide components, Si<sup>2+</sup>, Si<sup>3+</sup>, Si<sup>\*</sup>, and Si<sup>4+</sup> on the basis of the Si(2p) core levels for as-deposited, RTA, and FGA treated ALD-AlO<sub>x</sub> (six cycles)/n-Si junctions with and without tunnel oxide *ch*-SiO<sub>x</sub> (1~3nm) layers. The binding energy of Si<sup>\*</sup>, B.E.(Si<sup>\*</sup>), shifted to a higher energy value of 2.55 eV measured from the Si<sup>0</sup>(2p<sub>3/2</sub>) energy of 99.3 eV used as a reference value for the as-deposited AlO<sub>x</sub>/n-Si junction. Moreover, B.E.(Si<sup>\*</sup>) shifted to 3.51 eV and 3.54 eV in the 1~3nm thick *ch*-SiO<sub>x</sub> tunnel oxide layers, respectively. Furthermore, B.E.(Si<sup>\*</sup>) shifted to 3.57 eV (25 °C) and 3.64 eV (80 °C) after FGA. No significant shift of B.E.(Si<sup>\*</sup>) was observed in the ALD-AlO<sub>x</sub>/n-Si interface without tunnel oxide *ch*-SiO<sub>x</sub> layers. However, the B.E.(Si<sup>\*</sup>) shifted to the lower energy values of 2.35 eV, 3.36 eV, and 3.45 eV in ALD-AlO<sub>x</sub>/n-Si interfaces without *ch*-SiO<sub>x</sub> layers, the RTA-treated samples with *ch*-SiO<sub>x</sub> layers sample, and the RTA-treated samples with ~3nm thick *ch*-SiO<sub>x</sub> layers samples, respectively. The values of B.E.(Si<sup>\*</sup>) were lower than those of as deposited and FGA. This resulted in the lower passivation quality in RTA-treated samples compared to the samples before and after FGA treatment.

Table 4.3: Chemical shifts of  $SiO_x$  suboxide components,  $Si^{2+}$ ,  $Si^{3+}$ ,  $Si^*$ , and  $Si^{4+}$ , from the  $Si(2p_{3/2})$  core energy level used as a reference value for ALD-AlO<sub>x</sub> (six cycles) with and without tunnel oxide ch-SiO<sub>x</sub> (1~3nm) layers before and after FGA and RTA

	Tunnel oxide layer	AlO <sub>x</sub> /n-Si		AlO <sub>x</sub> /SiO <sub>x</sub> (~1.5nm)/n-Si			AlO <sub>x</sub> /SiO <sub>x</sub> (~3nm)/n-Si			
		As- deposited	W FGA	W RTA	As- deposited	W FGA	W RTA	As- deposited	W FGA	W RTA
Binding energy difference ΔB.E from (Si2p3/2) core energy	$\Delta B.E. (Si^{1+}) (eV)$	1.2	-		1.12	-		1.01	-	
	$\Delta B.E. (Si^{2+}) (eV)$	2.05	1.84	1.7	2.07	1.87	1.65	2.17	2.14	2.01
	$\Delta B.E. (Si^{3+}) (eV)$	2.89	2.89	3.01	2.86	-	2.82	3.03	2.90	3.01
	ΔB.E. (Si*) (eV)	2.47	2.55	2.35	3.51	3.57	3.36	3.54	3.64	3.45
	ΔB.E. (Si <sup>4+</sup> ) (eV)	-	3.93	3.72	4.13	3.95	3.79	4.06	3.91	3.82
height ratio (%)	I (Si <sup>4+</sup> )/Si(2p <sub>3/2</sub> )	0.7	22.5	14.6	4.7	27.7	16.1	5.7	31.4	18.7
	I (Si <sup>4+</sup> )/Si(2p <sub>1/2</sub> )	0.9	34.4	26.9	4.8	46.2	28.6	6.7	55.9	33.3

In addition, the ratio of the  $Si^{4+}$  peak height to the  $Si(2p_{3/2})$  peak height of the ALD-AlO<sub>x</sub> (six cycles)/n-Si junctions was approximately 0.7%, which increased to 5.7% and 31.4% with tunnel oxide ch-SiO<sub>x</sub> layer insertion before and after FGA, respectively. The ratio of the peak height between  $Si^{4+}$  and  $Si2p_{1/2}$  increased from 0.9 to 55.9%. But for RTA treated samples, the intensity ratio is lower than the FGA treated samples. These results indicate that the  $Si^*$  complex and the suboxide composition ratio in the tunnel oxide ch-SiO<sub>x</sub> layer contribute to the improvement of the passivation quality at the ALD-AlO<sub>x</sub>/n-Si interface. But still, the role of FGA and RTA at the AlO<sub>x</sub>/n-Si interface with and without ch-SiO<sub>x</sub> layers is not clear. To understand the role of FGA and RTA on the chemical bond composition, we have also investigated the Fourier transform infrared ray (FTIR) spectra study, which will be described in the next part.

# 4.3.1.3 Effect of RTA and FGA on the ALD-AlO<sub>x</sub> with and without ch-SiO<sub>x</sub> (1~3nm) by FTIR spectra

Figure-4.16 shows the aluminum octahedra (Al-O<sub>6</sub>) and aluminum tetrahedra (Al-O<sub>4</sub>). According to several researcher's investigations, if the evaluation of aluminum octahedra (Al-O<sub>6</sub>) and aluminum tetrahedra (Al-O<sub>4</sub>) intensity is more dominant at the AlO<sub>x</sub>/n-Si interface, then the passivation quality can be enhanced. To this aim, we also investigate the FTIR study to understand the chemical bond composition of Al-O at the AlO<sub>x</sub>/n-Si interface.

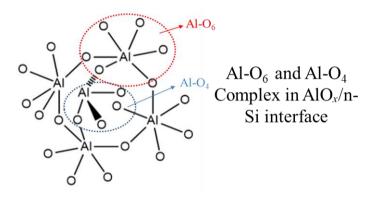


Figure-4.16: Aluminum octahedra (Al-O<sub>6</sub>) and aluminum tetrahedra (Al-O<sub>4</sub>) complex

Figure- 4.17 (a) shows the FTIR spectra to understand the effect of FGA and RTA at the AlO<sub>x</sub>/n-Si interface with and without ch-SiO<sub>x</sub> from 500-4000 cm<sup>-1</sup> wavenumber. Also, from 500-1200, the wavenumber is expanded to figure-4.17 (b). For the AlO<sub>x</sub>/n-Si interface, the Al-OH bond is observed at the 3700 wavenumbers for all annealing conditions. In the case of FGA treated samples, the Al-OH bond at 3700 cm<sup>-1</sup> was reduced with the enhancement of Al-O<sub>6</sub> intensity at the 613 cm<sup>-1</sup> wavenumbers compared with the RTA treated samples. The same observation is observed for the ch-SiO<sub>x</sub> inserted samples.

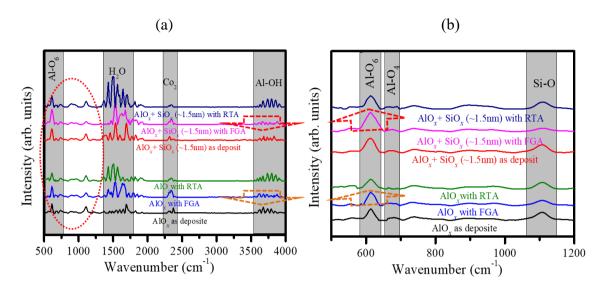


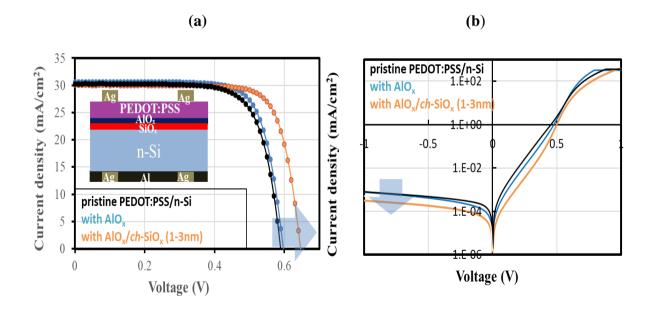
Figure-4.17: FTIR spectra for the  $AlO_x/ch$ -SiO<sub>x</sub> for as-deposited, FGA and RTA from (a) 500-400 and (b) 500-1200 cm<sup>-1</sup> wavenumber.

From this investigation, it can be concluded that for FGA treated samples, due to the reduction of Al-OH bond at the  $AlO_x/n$ -Si interface, the enhancement of Al-O<sub>6</sub> bond intensity is evaluated, which enhanced the passivation quality compared with the asdeposited and RTA treated samples. And due to the better passivation quality of the FGA treated ultrathin stack layer of the  $AlO_x/ch$ -SiO<sub>x</sub> interface, we introduced them at the PEDOT:PSS/n-Si junction to improve its passivation quality.

# 4.3.1 PV performance of FGA treated ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer with the PEDOT:PSS/n-Si heterojunction solar cell

Figure 4.18(a,b) shows the dark and photocurrent density-voltage (J-V) curves of  $2\times2\text{-cm}^2$  PEDOT:PSS/n-Si(1–5  $\Omega\cdot\text{cm}$ ) heterojunction solar cells with solely AlO<sub>x</sub> and AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack ultra-thin layers. The device consisting of an Ag/PEDOT:PSS/n-Si(1–5  $\Omega\cdot\text{cm}$ )/Ag(Al) structure was used with and without ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack interlayers.

The solar cell parameters are summarized in Table 4.4. The dark current was suppressed to be lower across the entire bias region from -1 V to 1 V for the device containing FGA-treated AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack interlayer compared with those for the PEDOT:PSS/n-Si devices with and without six cycles AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer. V<sub>oc</sub> increased slightly from 598 to 645 mV without decreasing J<sub>sc</sub> and FF. No marked increases in the photovoltaic performance were obtained for the device into which solely ALD-AlO<sub>x</sub> was inserted. However, the PCE increased from 13.08 % to 14.91 % with an increased V<sub>oc</sub> of 645 mV and FF of 0.77 by the FGA-treated AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer. Figure 4.12(c) shows V<sub>oc</sub> and V<sub>bi</sub> for solely AlO<sub>x</sub>, the AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack interlayer, and the pristine PEDOT:PSS/n-Si device. For the device that contains the ALD-AlO<sub>x</sub> interlayer solely at the PEDOT:PSS/n-Si interface, V<sub>oc</sub> (V<sub>bi</sub>) increased from 589 mV (685 mV) to 598 mV (710 mV). However, a further increase in V<sub>oc</sub> (V<sub>bi</sub>) up to 645 mV (750 mV) was observed for FGA- treated AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack layers.



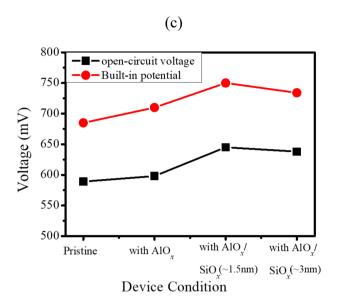


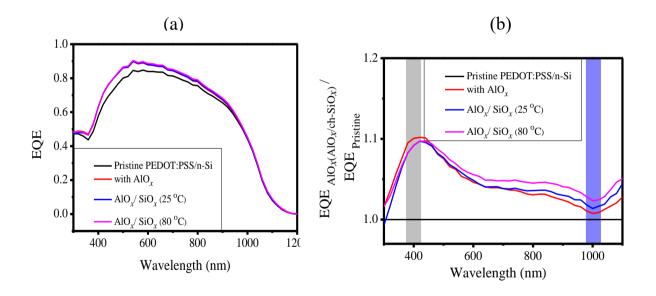
Figure-4.18: (a) Dark and (b) photocurrent density-voltage curves of pristine PEDOT:PSS/n-Si heterojunction solar cell and PEDOT:PSS/n-Si devices together with six cycles ALD-AlO<sub>x</sub> solely and ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack interlayers. (c) V<sub>oc</sub> and V<sub>bi</sub> for the corresponding PEDOT:PSS/n-Si devices.

Table 4.4: Solar cell parameters for the pristine PEDOT:PSS/n-Si device and the devices with solely ALD-AlO<sub>x</sub> and the AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack ultrathin layers.

Device parameters	J <sub>sc</sub> (mA/cm <sup>2</sup> )	Voc (V)	FF	PCE (%)
Pristine	30.3	0.589	0.733	13.08
Pristine with AlO <sub>x</sub>	31.2	0.598	0.752	14.03
Pristine with AlO <sub>x</sub> /ch-SiO <sub>x</sub>	30	0.645	0.771	14.91
(1~3nm)				

Figure 4.19(a), (b), and (c), respectively, show the EQE, normalized EQE, and 2D map of EQE at 400 and 1000 nm for the corresponding PEDOT:PSS/n-Si solar cells

with and without ultrathin ALD-AlO $_x$ /ch-SiO $_x$  (1~3nm) stack layers. The FGA AlO $_x$ /ch-SiO $_x$  stacked interlayer increased the EQE across the entire wavelength region from 400 to 1000 nm compared with that of the device containing solely AlO $_x$  despite the use of the cathode metal contact alone. Notably, the 2D maps of EQE at both the wavelengths, 400 and 1000 nm, (Fig. 4.13(c)) for 2×2 cm<sup>2</sup> PEDOT:PSS/n-Si heterojunction devices with solely AlO $_x$  and the AlO $_x$ /ch-SiO $_x$  stack layers are more intense than that of the pristine device. This indicates that the FGA AlO $_x$ /ch-SiO $_x$  stack interlayer provides more effective passivation and a stronger built-in-field at the PEDOT:PSS/n-Si anode interface.



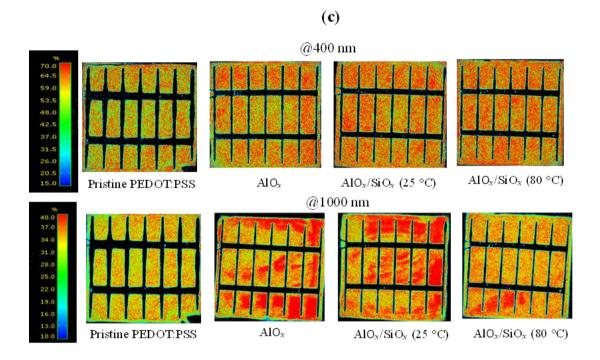


Figure-4.19: (a) EQE, (b) EQE<sub>AlOx(AlOx/ch-SiOx)</sub>/EQE<sub>pristine</sub>, and (c) 2D mapping of EQE at 400- and 1000-nm wavelengths for pristine PEDOT:PSS/n-Si heterojunction solar cells together with six-cycles ALD-AlO<sub>x</sub> solely and ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack interlayers.

From the above discussion, it is observed that the higher thickness regimes of AlO<sub>x</sub> island act as an anti-reflection layer, not the passivation layer. On the other hand, the ultrathin stack layer of ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub>(1~3nm) shows us a good passivation layer, which improved the open-circuit voltage and overall performance also. But the interface properties and band alignment of the ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> interface is still unclear. Even the effect of the forming gas annealing (FGA) and rapid thermal annealing (RTA) is still unclear. To this aim, in the next chapter, we also investigated the band alignment of the ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> interface with and without PEDOT:PSS/n-Si junction.

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## **Chapter 5**

Band alignment at the PEDOT:PSS/a-AlO<sub>x</sub>/ch-SiO<sub>x</sub>/c-Si interface

# 5.1 Determination of band offset and band alignment of ALD-AlO $_x$ /SiO $_x$ stack layer on n-Si substrate

The effect of FGA on the valence-band offset at the  $AlO_x/n$ -Si junction with and without ch-SiO<sub>x</sub> interlayer was determined through Kraut's method reported in 1980 [1]. Figure-4.20 shows the schematic of the heterojunction of semiconductor X and Y with two different bandgap energies.

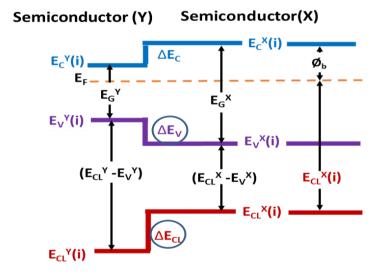


Figure-5.1: Schematic band diagram of an MS junction

As shown in figure 5.1, the valence band offset,  $\Delta E_V$  is the energy difference between energy levels of the valence band maximum of X and Y.  $\Delta E_C$  is the conduction band offset between X and Y, and  $\Delta E_{CL}$  is the core energy difference between X&Y semiconductors. These values can be determined from the following equation

$$\Delta E_{V} = (E_{CL}^{Y} - E_{V}^{Y}) - (E_{CL}^{X} - E_{V}^{X}) - \Delta E_{CL}$$
 (5.1)

Where  $E_V \& E_C$  are the valence band maximum and conduction band minimum at the interface of X&Y semiconductor, to determine the valance band energy difference between X and Y, the information of the core energy level of X and Y, and the threshold energy of the photogenerated electron XY interface is needed. Those values can be obtained from XPS, UPS, or photoemission yield spectroscopy in air.

Figure 5.2(a)–(c) display the XPS Si(2p) and Al(2p) core energy level spectra for the ALD-AlO<sub>x</sub> (six cycles)/n-Si interface with and without tunnel oxide ch-SiO<sub>x</sub> (1~3nm) layers. The Al(2p<sub>3/2</sub>, 2p<sub>1/2</sub>) core energy was shifted to slightly lower energy by 0.1–0.3 eV for the FGA AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack structures compared to that of as-deposited AlO<sub>x</sub> on n-Si. The proportion of Si(2p<sub>1/2</sub>) core energy level was increased compared with the Si(2p<sub>3/2</sub>), together with the appearance of SiO<sub>x</sub> complex related peaks at the higher energy region for ch-SiO<sub>x</sub>-inserted AlO<sub>x</sub>/n-Si junctions. This finding indicates that the insertion of ch-SiO<sub>x</sub> tunnel oxide inserted at the AlO<sub>x</sub>/n-Si interface promotes the oxidation through the reduction of AlO<sub>x</sub>.

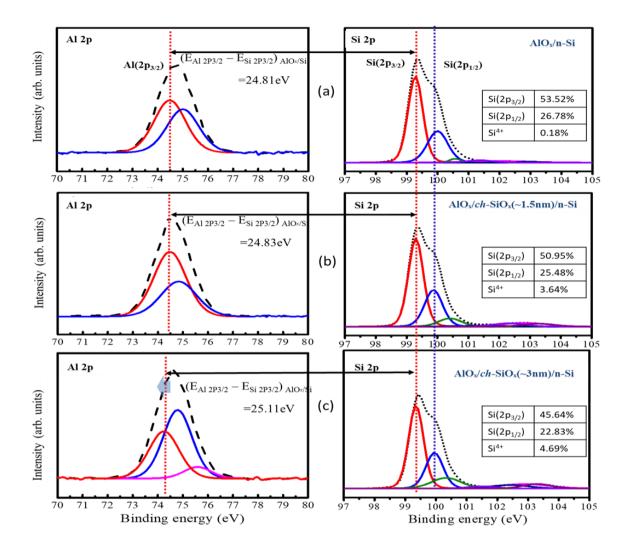


Figure-5.2: XPS spectra of Al(2p) and Si(2p) core energy level regions of (a) ALD-AlO<sub>x</sub>/n-Si, (b) AlO<sub>x</sub>/ch-SiO<sub>x</sub> ( $\sim$ 1.5nm)/n-Si, and (c) AlO<sub>x</sub>/ch-SiO<sub>x</sub> ( $\sim$ 3nm)/n-Si samples, respectively. The inset shows the compositional ratio for corresponding samples.

Figure 5.3 (a-c) show the band alignments of  $ALD-AlO_x/n-Si$  junctions corresponding to the spectra. These results were obtained by calculating the valence band offset (VBO) values using the following equation derived by Kraut [1]:

$$\Delta E_{VBM} = (E_{A1\,2P3/2} - E_{Si\,2P3/2})_{A12O3/Si} - [(E_{A1\,2P3/2} - E_{VBM})_{A12O3} - (E_{Si\,2P3/2} - E_{VBM})_{Si}]$$
(5.2)

Where  $\Delta E_{VBM}$  is the VBO, i.e., the energy difference between the valence band maxima  $E_{VBM}$  of AlO<sub>x</sub> and n-Si.  $E_{Al}$  and  $E_{Si}$  are the binding energies of the Al(2P<sub>3/2</sub>) and Si(2P<sub>3/2</sub>) core levels, respectively, at the AlO<sub>x</sub>/n-Si interface [1,2]. The energy differences between these core levels and the corresponding  $E_{VBM}$  were determined using experimental results from XPS, PYSA, and KP analyses for the AlO<sub>x</sub> layer and bulk n-Si substrates. Thereafter, these values were used to evaluate the  $\Delta E_{VBM}$  of the corresponding samples with and without tunnel oxide ch-SiO<sub>x</sub> layers.

The fine scan spectra of the fabricated samples, shown in Fig. 5.2(a)–4(c), show that the energy differences between the Al(2p<sub>3/2</sub>) and Si(2p<sub>3/2</sub>) core levels, (E<sub>Al2p<sub>3/2</sub></sub>–E<sub>Si2p<sub>3/2</sub>)<sub>AlOx/n-Si</sub>, were approximately 24.81, 24.83, and 25.11 eV for the ALD-AlO<sub>x</sub>/n-Si, AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~1.5nm)/n-Si, and AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~3nm)/n-Si samples, respectively. In addition, the energy differences between the Al(2p<sub>3/2</sub>) core level and its VBM, (E<sub>Al2p<sub>3/2</sub></sub>–E<sub>VBM</sub>)<sub>AlOx</sub>, were determined to be approximately 69.69, 69.63, and 69.24 eV for the ALD-AlO<sub>x</sub>/n-Si, AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~1.5nm)/n-Si, and AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~3nm)/n-Si samples, respectively, and the energy differences between the Si(2p<sub>3/2</sub>) core level and its VBM, (E<sub>Si2p<sub>3/2</sub></sub>–E<sub>VBM</sub>)<sub>Si</sub>, were determined as 98.6, 98.63, and 98.67 eV for these structures. Substituting these values into Eq. (1),  $\Delta$ E<sub>VBM</sub> was calculated as approximately 4.10, 4.17, and 4.32 eV for the ALD-AlO<sub>x</sub>/n-Si, AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~1.5nm)/n-Si, and AlO<sub>x</sub>/ch-SiO<sub>x</sub> (~3nm)/n-Si interfaces, respectively. The conduction band offset (CBO) value,  $\Delta$ E<sub>CBM</sub>, for the AlO<sub>x</sub>/n-Si junction can be determined using the following equation:</sub>

$$\Delta E_{CBM} = (E_g)_{AlOx} - (E_g)_{Si} - \Delta E_{VBM}, \tag{5.3}$$

Where  $(E_g)_{AlO_x}$  and  $(E_g)_{Si}$  are the band gaps of the ALD-AlO<sub>x</sub> layer and n-Si substrate, respectively. The CBO values were obtained from Eqs. (1) and (2) were 1.78, 1.71, and

1.56eV for the corresponding samples. These findings indicate that the chemical tunnel oxide *ch*-SiO<sub>x</sub> modifies the VBO and CBO with an increase in VBO at higher concentrations of the Si\* related complex. The results are summarized in detail in Table 5.1.

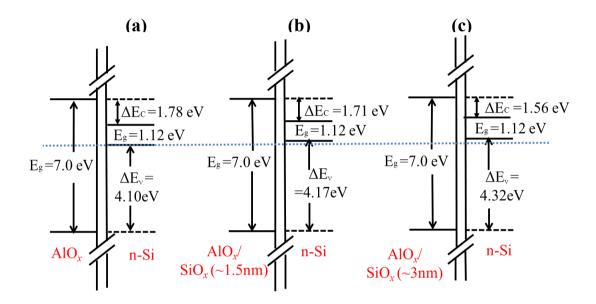


Figure-5.3: Derived band alignment and band offset of the ALD-AlO<sub>x</sub>/n-Si interface with and without tunnel oxide ch-SiO<sub>x</sub> (1~3nm) layers.

Table 5.1: Determination of valance band offset for ALD-AlO<sub>x</sub>/n-Si interface with and without tunnel oxide ch-SiO<sub>x</sub> layers

Interface layer	(E <sub>Al2P3/2</sub> ) AlO <sub>x</sub> (eV)	(E <sub>VBM</sub> ) AlO <sub>x</sub> (eV)	(E <sub>Si2P3/2</sub> ) Si (eV)	(E <sub>VBM</sub> ) Si (eV)	$(E_{A12P3/2})$ $AlO_x$ $-(E_{VBM})$ $AlO_x$ $(eV)$	$(E_{Si2P3/2})$ $Si$ $-(E_{VBM})$ $Si$ $(eV)$	$\begin{array}{c} (E_{A12P3/2} - \\ E_{Si2P3/2}) \\ AlO_x/Si \\ (eV) \end{array}$	ΔE <sub>VBM</sub> (eV)	ΔE <sub>CBM</sub> (eV)
AlO <sub>x</sub> /n-Si	74.47	4.78	99.4	0.68	69.69	98.72	24.93	4.10	1.78
AlO <sub>x</sub> /SiO <sub>x</sub> (25°C)/n-Si	74.48	4.85	99.43	0.68	69.63	98.75	24.95	4.17	1.71
AlO <sub>x</sub> /SiO <sub>x</sub> (80°C)/n-Si	74.24	5.00	99.40	0.68	69.24	98.72	25.16	4.32	1.56

## 5.3.4 Effect of FGA treated ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer at the PEDOT:PSS/n-Si interface

Table-5.2 shows the sheet resistance of the PEDOT:PSS/n-Si device with and without  $AlO_x/ch$ -SiO<sub>x</sub> stack layers. Initially, the sheet resistance for the PEDOT:PSS/n-Si interface was about 162 ohm.sqr. But once the stack layer of  $AlO_x/ch$ -SiO<sub>x</sub> was used, the sheet resistance becomes lower compared with previous. Moreover, the FGA-treated  $AlO_x/ch$ -SiO<sub>x</sub>/PEDOT:PSS shows the lower sheet resistance compared with others. These results indicate that FGA treated samples show the lower sheet resistance compared with others treated samples that refer to better passivation quality.

Table-5.2: Sheet resistance for PEDOT:PSS/n-Si devices with and w/o AlO<sub>x</sub> and AlO<sub>x</sub>/ch-SiO<sub>x</sub>(1~3nm) interlayers

Device parameters	Sheet registance, (Ω/□)			
PEDOT:PSS/n-Si	162			
	Without FGA	With FGA		
PEDOT:PSS/AlO <sub>x</sub> /n-Si	145	117		
PEDOT:PSS/AlO <sub>x</sub> /ch-SiO <sub>x</sub> (~1.5nm)/n-Si	132	110		
PEDOT:PSS/AlO <sub>x</sub> /ch-SiO <sub>x</sub> (~3nm)/n-Si	123	105		

Figure-5.4 presents the derived energy band diagrams of (a) PEDOT:PSS/n-Si, (b) PEDOT:PSS/AlO<sub>x</sub>/n-Si, and (c) PEDOT:PSS/[AlO<sub>x</sub>/ch-SiO<sub>x</sub>(1~3nm)]/n-Si junctions determined from a combination of XPS, C-V, and KP measurements. The illustration at the top is a schematic representation of the energy band diagram of the PEDOT:PSS/n-Si junction with the definitions of each energy level.  $E_c$ ,  $E_v$ , and  $E_f$  are the conduction band

minimum, valence band maximum, and Fermi level, respectively.  $E_i$  is the intrinsic energy level of c-Si.  $\Psi_{bi}(p)$  and  $\Psi_{bi}(p)$ , inv) are the built-in fields at the PEDOT:PSS/n-Si heterojunction with and without ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack interlayers, respectively. The procedure to determine each value is described in detail elsewhere [3-4]. The Fermi energy and CBO,  $|E_c-E_f|$ , increased because of the insertion of ALD-AlO<sub>x</sub> at the PEDOT:PSS/n-Si interface with higher VBM of (4.10 eV) compared with that of the PEDOT:PSS/n-Si interface alone. As a result,  $V_{bi}$  also increased from 680 mV to 710 mV because of the increased  $|E_i-E_f|$ . Furthermore,  $V_{bi}$  increased to 750 mV because of the higher VBM (4.32 eV) for the ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack interlayer. These results suggest that a strong inversion layer is formed at the PEDOT:PSS/n-Si interface with the ALD-AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack interlayer.

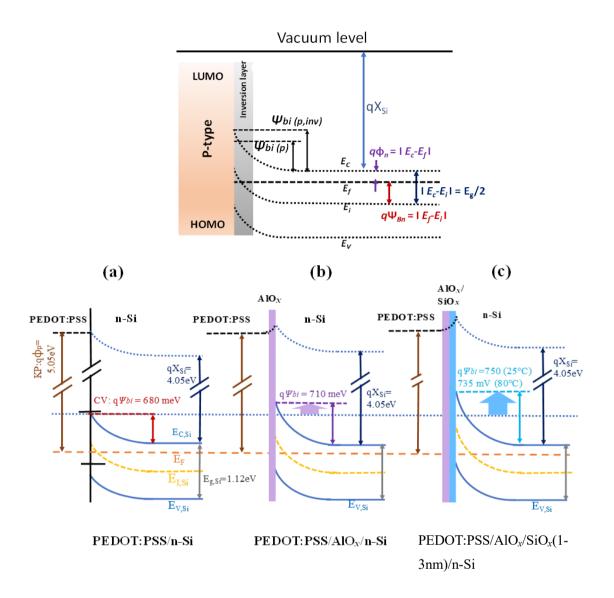


Figure-5.4: (top) Schematic energy band diagram of PEDOT:PSS/n-Si junction, including definitions of the energy differences. (bottom) Derived energy band diagrams of (a) PEDOT:PSS/n-Si, (b) PEDOT:PSS/AlO<sub>x</sub>/n-Si, and (c)PEDOT:PSS/AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm)/n-Si.

Based on the results described above, the effect of the FGA  $AlO_x/ch$ - $SiO_x$  stack interlayer at the PEDOT:PSS/n-Si interface is considered as follows. The  $\mu$ -PCD, XPS, and SE revealed that the FGA promotes the formation of Si\* suboxide and Si<sup>4+</sup> within

several monolayers near the top surface, which enhances the passivation ability of n-Si. XPS also revealed that the Al(2p) core energy level was decreased, and the VBO at the ch-SiO<sub>x</sub>/n-Si junction increased as the thickness of the tunnel oxide ch-SiO<sub>x</sub> layer increased at higher immersion temperature at ~3nm thick AlO than that at ~1.5nm. These findings suggest that the reduction of the AlO<sub>x</sub> layer results in the promotion of oxidation of the tunnel oxide SiO<sub>x</sub> layer [6-8]. In addition, sheet resistance decreased from  $162 \Omega/\Box$  for solely PEDOT:PSS to  $117 \Omega/\Box$  for the solely AlO<sub>x</sub> and further reduced to 105– $110 \Omega/\Box$  for the AlO<sub>x</sub>/ch-SiO<sub>x</sub> (1~3nm) stack layer after FGA. These results suggest that the negative charge stored in the ALD-AlO<sub>x</sub> layer increased because of the removal of oxygen after FGA by reduction. This indicates that both the increased passivation ability and increased negative charge storage in the ALD-AlO<sub>x</sub> layer contribute to the increase in V<sub>bi</sub> at the PEDOT:PSS/n-Si anode interface and increase in V<sub>oc</sub> in PEDOT:PSS/n-Si heterojunction solar cells.

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## Chapter 6

### Summary and future work

#### 5.1 Summary and conclusion

In this work, we have studied the junction property of the solution-processed PEDOT:PSS/n-Si junction using the selective carrier contacts such as  $TiO_2$  and  $AlO_x$  at the cathode and anode interface. The key observations of this work are given below:

## a) Effect of TiO<sub>2</sub> as a Hole Blocking Layer in the PEDOT:PSS/n-Si Heterojunction Solar Cells

Usually, the hole blocking layer has been used to improve the passivation ability at the n-Si/cathode interface. But here, the low-temperature solution process TiO<sub>2</sub> is used as an HBL layer to enhance the passivation of the n-Si/cathode interface. Transient reverse recovery analysis depicted that using TiO<sub>2</sub> at the n-Si/cathode interface, the recombination velocity, S has been decreased compared with the metal contact. In the case of direct metal contact (cathode) to n-Si, S is found around 750cm/s, which is reduced to 15.5% by single-layered TiO<sub>2</sub> as a hole blocking layer. For further reduction of S, a double layer TiO<sub>2</sub> is used to avoid the direct metal contact to Si. These results suggested that the recombination at the cathode interface can be reduced by using a double layer of TiO<sub>2</sub> for avoiding direct metal contact to the c-Si.

Additionally, using the TiO<sub>2</sub> as an HBL at the cathode/n-Si interface, the PCE of PEDOT:PSS/n-Si solar cell is increased from 11.2% to 13.08%. Also, the carrier collection efficiency is increased at the cathode/n-Si interface for the TiO<sub>2</sub> inserted device, which is confirmed from the EQE.

# b) Effect of ALD-AlO $_x$ island at the PEDOT:PSS/n-Si interface by the UV photolithography process

Using the higher passivation layer, the junction property of PEDOT:PSS/n-Si interface can be improved due to the reduction of sheet resistance produced at the PEDOT:PSS/plane n-Si interface. For this aim, a high k-material of the AlO<sub>x</sub> layer has been introduced between the PEDOT:PSS/n-Si junction. But, AlO<sub>x</sub> itself as a high insulation layer, so for the flow of photo-generated carrier to the anode interface, AlO<sub>x</sub> island has been demonstrated for tunneling through the 20nm thick AlO<sub>x</sub> layer by UV photolithography process. A higher built-in field has been achieved at the PEDOT:PSS/n-Si junction for the AlO<sub>x</sub> island, which was determined from the C-V analysis. Also, the current density is enhanced for the AlO<sub>x</sub> island inserted device, which is confirmed from the JV, EQE study. Thus, we found that AlO<sub>x</sub> island acts as an anti-reflection coating layer.

# c) Effect of thermally annealed atomic-layer-deposited AlO<sub>x</sub>/ chemical tunnel oxide stack layer at the PEDOT:PSS/n-type Si interface to improve its junction quality. As we studied that the high thickness of AlO<sub>x</sub> acts as an ARC layer, we turn our investigation to the ultrathin AlO<sub>x</sub>/ch-SiO<sub>x</sub> stack layer to improve the junction quality of PEDOT:PSS/n-Si interface as a passivation layer. As the passivation quality of the ultrathin AlO<sub>x</sub> layer is not so attractive, for the purpose of its improvement, ultrathin ch-SiO<sub>x</sub> has been inserted between the AlO<sub>x</sub>/n-Si interface as well as annealed the device using FGA at ambient condition. XPS study revealed that FGA promotes the reduction of the AlO<sub>x</sub> layer as well as the oxidation of Si suboxide related complex, which mainly enhances the passivation quality at the surface. From the CV and JV studies, it is found that the built-in field and open-circuit voltage is increased compared with the pristine device. The EQE for the corresponding PEDOT:PSS/n-Si heterojunction solar cells with

the ALD-AlO $_x$ /ch-SiO $_x$  stack layer was enhanced across the entire wavelength range of 350 to 1100 nm, which was provided by a stronger inversion layer at the PEDOT:PSS/n-Si anode interface and increased the minority carrier collection efficiency.

#### **5.2** Future Work

The PV performance of the solar cell depends on several more interface properties. For further improvement of the cell performance and to understand the interface properties, some more approaches are as follows:

- 1. The light stability test for the ALD-AlO $_x$ /ch-SiO $_x$  stack layer can be investigated under light exposure at different illumination times.
- 2. Also, the stability for the corresponding device with and without the emitter layer of PEDOT:PSS can be another work.
- 3. For the more clearer effect of  $AlO_x/ch$ -SiO<sub>x</sub> layer at the PEDOT:PSS/n-Si heterojunction solar cell, contact resistivity or sheet resistance measurement is needed.
- 4. FGA can be reduced the recombination loss at the n-Si/cathode interface.
- 5. The transient reverse recovery study can be extended to understand the effect of ALD-AlO $_x$ /ch-SiO $_x$  stack layer at the PEDOT:PSS/n-Si junction.